# UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

RICHARD J. LYMAN, WILLIAM F. WELD, and ROBERT D. CAPODILUPO,

Plaintiffs,

v.

CHARLES D. BAKER, in his official capacity as Governor of the Commonwealth of Massachusetts; and WILLIAM FRANCIS GALVIN, in his official capacity as Secretary of State of the Commonwealth of Massachusetts, Civil Action No. 18-10327-PBS

Defendants.

# PLAINTIFFS' MEMORANDUM OF LAW IN OPPOSITION TO DEFENDANTS' MOTION TO DISMISS

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#### **INTRODUCTION**

In Massachusetts, the vote for President proceeds in two steps. *See* Compl. ¶¶ 4, 13-14, 54. First, the people cast their votes for individuals whose names appear as candidates for President. Second, the votes are tallied and Massachusetts awards to the political party of the prevailing candidate, regardless of margin, all of the Commonwealth's Electors. As Defendants observe, the Constitution grants the States wide leeway in allocating its Electors. Massachusetts could decide to hold no popular vote at all. But having made its choice, the election of course must be conducted in a Constitutional manner. *See Bush v. Gore*, 531 U.S. 98, 104 (2000) (citing *McPherson v. Blacker*, 146 U.S. 1, 35 (1892)); *Harper v. Virginia*, 383 U.S. 663, 665 (1966). Here it is not. Massachusetts' winner-take-all method for counting votes in Presidential elections ("WTA") violates the Fourteenth Amendment principle of "one person, one vote" and the free speech and associational rights of Massachusetts voters under the First Amendment.

Defendants' first contend that voters who allege that their ballots are systematically discarded lack standing. Plaintiffs easily clear the standing bar. *See infra* at 3–7.

Next, Defendants argue this is an easy case because history and Supreme Court precedent dictate the result. First, the State argues dismissal is commanded by the historical pedigree of WTA. But time alone does not answer the question of constitutionality. Further, the history of WTA shows that its adoption predated the very doctrinal principles on which Plaintiffs rely, and nothing in that history suggests it is constitutional. Indeed 'tradition' has often served to obscure, but never to excuse, injustice. Likewise, the precedent on which the State relies, in particular *Williams v. Virginia State Bd. of Elections*, 288 F. Supp. 622 (E.D. Va. 1968) *aff'd*, 393 U.S. 320 (1969), analyzed electoral systems distinct from WTA in Massachusetts, and failed to address the very arguments Plaintiffs here bring to demonstrate the unconstitutionality of

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WTA. These arguments ignore, among other things, the fundamental shifts marked by *White v*. *Regester*, 412 U.S. 755, 769 (1973), and *Bush v*. *Gore*'s elimination of any requirement that plaintiffs allege, much less prove, invidious intent. *See infra* at 12–13.

When they should be turning to the merits, Defendants instead lean in to sophistry and legal fictions. The State pretends voters cast ballots only for Presidential *Electors*, not for *President*, thereby seeking to avoid the applicability of *Gray v. Sanders*, 372 U.S. 368 (1963). Someone should tell the people, who are barred by law from even *seeing* the names of the Electors on the ballots cast. MASS. GEN. LAWS C. 54, §43. The identity of an Elector, pledged to vote for its party, is of no more significance than the serial number of a voting machine—and their actions are equally mechanistic. Grounding constitutional analysis in a transparent fiction of convenience is an invitation to error. WTA is what it is: a two-step voting system indistinguishable from the one struck down in *Gray v. Sanders. See infra* at 14–16.

But even if the 'Elector Election' fiction is indulged, WTA still violates the Equal Protection Clause of the Fourteenth Amendment. Under Defendants' theory, Massachusetts' Presidential elections constitute a multi-member at-large election for Electors. But the state may not dilute the votes of political minorities by wasting their votes in at-large, multi-member elections in which the majority runs the table. *White*, 412 U.S. at 769. If the Defendants' theory were correct, Massachusetts could elect its entire state legislative body through one statewide vote for a slate of Democrats. But under Supreme Court precedent, such a scheme violates one person, one vote. *See id.* For the same reasons, WTA—even if the Court accepts the Elector Election fiction—also violates one person, one vote. *See infra* at 16–18.

Finally, Defendants' arguments concerning Plaintiffs' First Amendment claim are similarly flawed. The First Amendment affords voters the right to an equal and effective vote.

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See Tashjian v. Republican Party of Conn., 479 U.S. 208, 216 (1986) (finding that the First Amendment grants the right to voice one's preference "at the crucial juncture at which the appeal to common principles may be translated into concerted action, and hence to political power in the community."). WTA violates this right by weighting votes differently, depriving voters affiliated with minority parties a meaningful opportunity to associate. Defendants argue that nothing prevents Plaintiffs from voting for their candidate of choice, but, again, Defendants ignore that almost half of the votes cast for President in Massachusetts are discarded in the direct election, when it is most important that they be counted: such voters may have a right to cast a ballot, but they are deprived of their right to have their vote counted; they get the form, but not the substance, of the right to vote. *See infra* 19–20.

Plaintiffs respectfully oppose Defendants' motion to dismiss the Complaint.

# **STANDARD OF REVIEW**

To survive a motion to dismiss, a complaint must contain sufficient factual matter to "state a claim to relief that is plausible on its face." *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (quoting *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 570 (2007)). The court "must accept as true the factual allegations of the complaint and draw all reasonable inferences in favor of [plaintiff]." *Blackstone Realty LLC v. F.D.I.C.*, 244 F.3d 193, 197 (1st Cir. 2001). A court may give judicial notice to facts that are not the subject of reasonable dispute. Fed. R. Evid. 201(b).

#### ARGUMENT

## I. PLAINTIFFS HAVE STANDING TO BRING THESE CLAIMS

Defendants argue that the court should dismiss Plaintiffs' claims for lack of Article III standing. Defs.' Mot. Dismiss at 4. Plaintiffs have standing if (1) they have "suffered an injury in fact" that (2) is "fairly... trace[able] to the challenged action of the defendant" and (3) will

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"likely" be "redressed by a favorable decision." *Lujan v. Defenders of Wildlife*, 504 U.S. 555, 560–561 (1992) (internal citations omitted). Defendants have not challenged the "traceability" of Plaintiffs' injury to WTA because Plaintiffs have indisputably alleged traceability. *See e.g.*, Compl. ¶¶ 5, 7, 17-20, 43. Plaintiffs have satisfied the other two requirements as well.

## A. Plaintiffs Have Alleged a Concrete and Particularized Injury

Plaintiffs allege that their votes have been discarded and diluted, and will continue to be discarded and diluted, through WTA (Compl. ¶ 17), satisfying the injury-in-fact requirement.

The Supreme Court has held that "the right of suffrage can be denied by a debasement or dilution of the weight of a citizen's vote just as effectively as by wholly prohibiting the free exercise of the franchise." *Reynolds v. Sims*, 377 U.S. 533, 555 (1964). A voter alleges a concrete and personal injury where, as here, the voter is a resident of the relevant voting area and alleges that state law works to dilute or discard his vote. *Baker v. Carr*, 369 U.S. 186, 206 (1962) (finding "voters who allege facts showing disadvantage to themselves as individuals have standing to sue."). "Injury in fact" only requires that a person be "adversely affected," which "serves to distinguish a person with a direct stake in the outcome of a litigation—even though small—from a person with a mere interest in the problem." *United States v. SCRAP*, 412 U.S. 669, 690 n.14 (1973).

Plaintiffs allege here that their personal votes have been discarded and the power of those votes diluted. This is a particularized and concrete injury. *Reynolds*, 377 U.S. at 566 (holding "[d]iluting the weight of votes" by elevating one group's votes over another causes a cognizable injury). Contrary to Defendants' claim that Plaintiffs lack standing because "that alleged injury may apply to any voter in the Commonwealth," Defs.' Mot. Dismiss at 6, Plaintiffs' right to seek redress of its injuries exists regardless of whether there are other similarly situated voters whose

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votes suffered the same fate. *See Baker*, 369 U.S. at 208 (finding plaintiff voters have standing because "if such impairment [of votes] does produce a legally cognizable injury, they are among those who have sustained it."). The key element present here is that Plaintiffs "are asserting 'a plain, direct and adequate interest in maintaining the effectiveness of *their* votes[.]" *See id.* at 206 (emphasis added) (internal citation omitted). That element distinguishes Plaintiffs' claims from those in the cases Defendants cite, in which voters pursued injuries *other than* the dilution or discarding of their personal votes.<sup>1</sup>

Plaintiffs' First Amendment claims also assert a concrete and particularized harm. Plaintiffs allege WTA deprives them of their right of political association, limiting their ability to join together and express political preference through meaningful votes. *See* Compl. ¶40–45. As described in *Gill v. Whitford*, a voter can allege sufficient injury by alleging the voting system "has burdened the ability of like-minded people across the state to affiliate in a political party and carry out that organization's activities and objects," and that if "the valued association and the injury to it are statewide, so too is the relevant standing requirement." No. 16-1161, 2018 WL 3013807, at \*21 (U.S. June 18, 2018) (J. Kagan, concurring) (noting "associational harm" of a voting system is "distinct from vote dilution[,]" and "[b]y placing a state party at an enduring electoral disadvantage, the gerrymander weakens its capacity to perform all its functions.").<sup>2</sup>

<sup>&</sup>lt;sup>1</sup> See Defs.' Mot. Dismiss at 6–7 (citing *Crist v. Comm'n on Presidential Debates*, 262 F.3d 193 (2d Cir. 2001) (challenging exclusion of candidate from debates); *Becker v. FEC*, 230 F.3d 381, 389 (1st Cir. 2000) (challenging corporate sponsorship of debates); *Berg v. Obama*, 586 F.3d 234(3d Cir. 2009) (challenging eligibility of candidate); *Collins v. Merrill*, No. 16-cv-9375, 2016 WL 7176651 (S.D.N.Y. Dec. 7, 2016) (challenging Electoral College result inconsistent with popular vote); *Fischer v. Cruz*, No. 16-cv-1224, 2016 WL 1383493 (E.D.N.Y. Apr. 7, 2016) (challenging eligibility of candidate); *Jones v. Bush*, 122 F. Supp. 2d 713, 716 (N.D. Tex.), *aff'd*, 244 F.3d 134 (5th Cir. 2000) (challenging Electors voting for candidates from same state); *Froelich v. FEC*, 855 F. Supp. 868, 869 (E.D. Va. 1994), *aff'd*, 57 F.3d 1066 (4th Cir. 1995) (challenging campaign contributions from nonresidents).

 $<sup>^{2}</sup>$  *Gill* also supports finding standing for a vote dilution claim. No. 16-1161, 2018 WL 3013807, at \*14. (finding plaintiffs lack standing for vote dilution claims where the alleged effects are only in electoral units in which they do not reside and vote). Since Plaintiffs here allege injury with regard to voter dilution in a statewide vote, they, unlike the Plaintiffs in *Gill*, meet the requirement of having their personal votes diluted by the challenged statewide system.

## B. Plaintiffs' Alleged Injury Will Be Redressed By a Favorable Ruling

Defendants argue redressability is not met because (1) the States have plenary power over how Electors are chosen, and (2) a favorable resolution to this dispute would not redress Plaintiffs' alleged injury. The former ignores that the power of the States does not supersede the federal government's ability (and responsibility) to protect constitutionally guaranteed rights, and the latter is a straw man argument that mischaracterizes the actual injury alleged.

Defendants assert that "the Constitution confers plenary authority on state legislatures ... to determine how to select Electors[.]" Defs.' Mot. Dismiss at 7. This exact argument of absolute, unqualified state power was rejected by the Supreme Court in *Reynolds*:

We are told that the matter of apportioning representation in a state legislature is a complex and many-faceted one. We are advised that States can rationally consider factors other than population in apportioning legislative representation. We are admonished not to restrict the power of the States to impose differing views as to political philosophy on their citizens. We are cautioned about the dangers of entering into political thickets and mathematical quagmires. **Our answer is this:** a denial of constitutionally protected rights demands judicial protection; our oath and our office require no less of us.

377 U.S. at 566 (emphasis added); see also Williams v. Rhodes, 393 U.S 23, 29 (1968)

("[P]owers [granted to the States] may not be exercised in a way that violates other specific provisions of the Constitution.").

Defendants also argue that the relief Plaintiffs request will not redress the alleged injury, as other states may continue to have winner-take-all systems in place. In making this argument, Defendants ignore the injury alleged, which is: "because the Plaintiffs have voted for, and will vote for, the Republican, Libertarian, or other non-Democratic candidate for President in Massachusetts, they have been, and will be again, deprived of the right to have their votes counted equally and meaningfully toward the election of the President." Compl. ¶ 17. *See also* Compl. ¶ 7. This injury is caused by WTA in *Massachusetts*, and would be remedied by an

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injunction preventing "Defendants from selecting Electors under the challenged WTA system, or any other system that fails to treat each Massachusetts citizen's vote for the President in an equal manner including selection by Congressional District vote." Compl.  $\P$  60(c).<sup>3</sup>

Due to WTA, Plaintiffs have been personally injured because their votes for President are discarded and diluted to zero effect, while the votes of Democratic-voting citizens are amplified far beyond their numbers. Plaintiffs have standing because that injury is indisputably "traceable" to WTA and would be "redressed" by a favorable decision striking down WTA.

# II. PLAINTIFFS' CLAIMS ARE NOT FORECLOSED BY HISTORICAL FACT OR LEGAL PRECEDENT

# A. History Does Not Resolve the Constitutionality of WTA

Defendants argue that WTA survives constitutional scrutiny because states have widely employed it for over two centuries. Defs.' Mot. Dismiss at 4, 9.<sup>4</sup> The very same arguments were used to justify adherence to what we now recognize as some of the most abhorrent practices (both electoral and otherwise) that our courts have set aside. "When new insight reveals discord between the Constitution's central protections and a received legal stricture, a claim to liberty must be addressed." *Obergefell v. Hodges*, 135 S. Ct. 2584, 2598 (2015); *see also* Compl. ¶¶ 4,5, 9, 50, 51 (alleging that WTA's effect is increasingly problematic because it systematically dilutes and discards votes, subverts the influence of Massachusetts voters, and renders elections

<sup>&</sup>lt;sup>3</sup> Relatedly, Defendants suggest in passing that Plaintiffs may not seek redress of violations of their constitutional rights in Massachusetts unless they pursue such relief *everywhere*. *See* Defs.' Mot. Dismiss at 8 (citing *Williams*, 288 F. Supp. at 629). As an initial matter, there is no support for the idea that a plaintiff must suffer violation of her constitutional rights because *other states* are also violating the rights of *their* citizens. More troublingly, acceptance of such an argument could raise an insurmountable barrier to Plaintiffs *ever* remedying constitutional violations, no matter how clearly those violations were proved or alleged. Defendants have challenged, incorrectly, Plaintiffs' standing to bring claims in this case; there is little question other states (in which Plaintiffs have never voted nor plan ever to vote) would challenge Plaintiffs' standing to sue *those* states.

<sup>&</sup>lt;sup>4</sup> Defendants also argue that "the States have plenary authority to determine the method of selection of Presidential Electors, and States have exercised their broad authority over the past 230 years[.]" Defs.' Mot. Dismiss at 9. But, as discussed *supra* at I.B, a State cannot, in the exercise of its power, violate federal rights.

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vulnerable to attack). Even accepting that history can sometimes inform constitutional analysis, however, WTA's history only serves to explain why the system fails to meet modern conceptions of voter equality—winner-take-all simply became widespread *before* the Fourteenth Amendment was ratified and *before* modern notions of voter equality had ever even been developed.

The real history of WTA renders the State's appeal to 'tradition' particularly unattractive. Defendants are correct that, by 1832, every state but one had adopted some form of winner-takeall. Defs.' Mot. Dismiss at 10. But winner-take-all was not implemented to ensure voter equality. Quite the opposite. This stratagem was designed and adopted to maximize the influence of a state's majority party and cancel out the voting strength of everyone else.<sup>5</sup> Such a policy decision to overweight votes for political convenience is simply not tenable under modern voting rights jurisprudence. *See, e.g., Gray,* 372 U.S. at 381 n.12; *California Democratic Party v. Jones,* 530 U.S. 567, 582 (2000); *McCutcheon v. Federal Election Comm'n.*, 134 S. Ct. 1434, 1450 (2014) ("[T]he concept that government may restrict the speech of some elements of our society in order to enhance the relative voice of others is wholly foreign to the First Amendment") (internal citation omitted).<sup>6</sup>

Since the widespread adoption of winner-take-all, there have been dramatic changes to the applicable legal landscape. Most importantly, the United States adopted the Fourteenth

<sup>&</sup>lt;sup>5</sup> *Williams*, 288 F. Supp. at 628 ("The legislature of the Commonwealth had the choice of appointing electors in a manner which will fairly reflect the popular vote... or to allow the majority to rule and thereby maximize the impact of Virginia's 12 electoral votes in the electoral college tally."); *see also* Senator Thomas Hart Benton, *Thirty Years' View*, Vol. I, at 38 (1854) ("The general ticket system ... was the offspring of policy, and not of any disposition to give fair play to the will of the people. It was adopted ... to enable [the majority] to consolidate the vote of the State.").

<sup>&</sup>lt;sup>6</sup> Defendants point out that Thomas Jefferson advocated for the general ticket in Virginia in 1800. Defs.' Mot. Dismiss at 10. This was pure politics. He recorded for history that the general ticket guaranteed that a "minority is entirely unrepresented[,]" and he advocated for it to prevent the antifederalists from losing another election. *See* Letter from Thomas Jefferson to James Monroe (Jan. 12, 1800) *in* 31 The Papers of Thomas Jefferson 300-01 (Barbara Oberg ed., 2005). The other states that soon followed suit did so for the same reason: to maximize the power of their own dominant voting blocs.

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Amendment in 1868, over three decade after Massachusetts adopted WTA. In the 1960s and 1970s—130 years after winner-take-all became widespread—the Court began to apply the Fourteenth Amendment to scrutinize, and in some cases enjoin, state electoral processes, on the basis of the one person, one vote principle articulated by the Supreme Court in *Gray.* 372 U.S. at 381; *id* at 377 n. 8 ("Passage of the Fifteenth, Seventeenth, and Nineteenth Amendments shows that [the] conception of political equality [prevalent in the Founding era] belongs to a bygone day, and should not be considered in determining what the Equal Protection Clause of the Fourteenth Amendment requires in statewide elections."); *White*, 412 U.S. at 769 (holding that a Texas County's use of an at-large election for multiple elected officials violated the Fourteenth Amendment). Thus, what is now a bedrock principle of constitutional law was not even in place during the majority of the historical period upon which the Defendants rely.<sup>7</sup>

# B. Defendants' Cited Precedent Does Not Address the Legal Questions Presented by Plaintiffs' Claims and Predates Important Doctrinal Shifts

Defendants also argue that previous decisions by the Supreme Court foreclose Plaintiffs' challenge. Defs.' Mot. Dismiss at 11–12. But in *not one* of the cases Defendants cite did the court address Plaintiffs' central factual and legal argument here: when a state presents its election as one for President, and *not* as one for Electors, its election must comport with constitutional protections that necessarily govern two-step elections. *See infra* at 13–16. In addition, even to the degree *Williams* addresses any argument of relevance in this case, Defendants' reliance on the summary affirmance in *Williams* is misplaced, as it no longer holds in the face of factual and

<sup>&</sup>lt;sup>7</sup> Defendants' citation to cases like *Noel Canning* is inapt; they hold that settled practice can "liquidate" the meaning of specific constitutional provisions—in particular when the provisions are ambiguous, and when the adopters of the practice understood it to be consistent with the provisions under review. *NLRB v. Noel Canning*, 134 S. Ct. 2550, 2560 (2014). However, as discussed above, winner-take-all was widespread *before* the ratification of the Equal Protection Clause—and long before the advent of the one person, one vote principle in the 1960s—and thus cannot liquidate the meaning of those principles nor contextualize their application.

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doctrinal shifts in the one person, one vote jurisprudence.

Defendants first rely on *McPherson*, 146 U.S. at 36, to establish that the Supreme Court has purportedly already accepted winner-take-all. Defs.' Mot. Dismiss at 3–5.<sup>8</sup> But the Court in *McPherson* did not address if allocating all of a state's Presidential Electors to the Presidential candidate that received the most votes violated the Equal Protection Clause of the Fourteenth Amendment (much less the one person, one vote principle articulated *70 years later*). Plaintiffs in *McPherson* challenged district-by-district elections for Electors, asking the Court to determine if the Constitution *required* a state-wide election for all Electors. The Court concluded it did not. *McPherson*, 146 U.S. at 24-25, 38. Specifically, the Court rejected that Art. II, § 2, cl. 2 of the Constitution foreclosed a district level vote for Electors, *id.* at 27-36, or that the Fourteenth Amendment created a right for each citizen of a state to vote for *each* Elector, *id.* at 39.

Defendants' argument that *Williams* controls is similarly flawed. In *Williams*, a district court assessed, and dismissed, a challenge to a winner-take-all system for allocating Electors, and the Supreme Court summarily affirmed. As an initial matter, Defendants cite *Mandel v*. *Bradley*, 432 U.S. 173, 176 (1977), for the proposition that the Supreme Court's summary affirmance in *Williams* is binding. Defs.' Mot. Dismiss at 13. But *Mandel* makes clear that courts looking to apply summary affirmances must closely analyze the factual and legal issues presented to determine if they are identical. *Mandel*, 432 U.S. at 176. Here they are not. The district court's decision in *Williams* does not address Plaintiffs' primary constitutional claim: that a state may not discard votes *for the President* through WTA in the same manner that, in

<sup>&</sup>lt;sup>8</sup> While Defendants present WTA as virtually identical to the "general ticket" system to which the Court referred to in *McPherson*, the two retain crucial distinctions. The prevailing general ticket system in the late-nineteenth century was, for one, an election of Electors, in which voters cast votes for individual Electors. And "general ticket" meant only that voters had the *option* of selecting all Electors from a given party with one notation on the ballot, if they so desired. Voters under WTA vote only for a Presidential candidate, which is then counted as a vote for each of that political party's 11 Presidential Elector nominees. See MASS. GEN. LAWS C. 54, § 78.

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*Gray*, votes were discarded at an intermediate step in a two-step election. The absence of such legal analysis is no surprise because *Williams* addressed winner-take-all under a system and time, like in *McPherson*, when voters cast their vote for Electors. *See* Ex. A at 4 (Plaintiffs' brief on the merits in *Williams*, describing the Virginia ballot)<sup>9</sup>; *see also McPherson*, 146 U.S. at 1, 4 (quoting Act No. 50 of the Public Acts of 1891 of Michigan)). Moreover, this was a time when Electors were not bound to the vote of the people. *See* 2001 Va. HB 1853 (changing the Virginia statute in 2001 so that Electors are "required to vote" for the party's nominee).

Further, neither *Williams*, nor Supreme Court precedent preceding it, holds that winnertake-all in a two-step election for President is constitutional.<sup>10</sup> Defendants argue that the dicta from *Wesberry v. Sanders*, 376 U.S. 1, 8 (1965), discussed by the *Williams* district court, held that *all* winner-take-all methods are "automatically" constitutional, and Defendants thereby suggest the summary affirmance in *Williams* may be similarly construed. *See* Defs.' Mot. Dismiss at 12–13. But the Supreme Court in *Wesberry* could not have concluded that the twostep election process it had condemned as violating the principle of one person, one vote only the year before in *Gray*, 372 U.S. at 370–71, was in fact "automatically" constitutional in *Wesberry*.

<sup>&</sup>lt;sup>9</sup> *Mandel*, 432 U.S. at 176, also makes clear that courts reviewing summary affirmances should not read the lower court's *rationale* as controlling, just the narrow final judgment. *Id.* This is especially true when the district court presents two rationales for upholding the judgment as the *Williams* court did—one of which, as noted, relied *dispositively* on the specific way Virginia elected *Electors*—which is materially different from Massachusetts' method. *Williams*, 288 F. Supp. at 627–28 (upholding Virginia's electoral system because it was difficult for the court to see how votes for Electors were treated unequally, *and* because it found that the system resembled the election of Representatives, which the Supreme Court stated in *Wesberry*, 376 U.S. at 8, was constitutional and which Congress had "expressly countenanced"); *see also Anderson v. Celebrezze*, 460 U.S. 780, 784 n.5 (1983).

<sup>&</sup>lt;sup>10</sup> For the same reasons, Massachusetts also incorrectly relies on the Supreme Court's summary affirmance in *Graham v. Fong Eu*, 403 F. Supp. 37, 45 (N.D. Cal. 1975) *summarily aff*'d, 423 U.S. 1067 (1976). Defs.' Mot. Dismiss at 16,19. *Graham* reviewed an *intraparty* primary dispute. *See Buckley v. Valeo*, 424 U.S. 1, 250 (1976) (citing *Graham* as a case involving an "intraparty dispute"). As the Supreme Court has repeatedly affirmed, courts view such challenges through fundamentally different—and far more lenient—constitutional standards. *See, e.g.*, *Public Integrity All., Inc. v. Tucson,* 836 F.3d 1019, at 1026–27 (9th Cir. 2016) (citing "decades of jurisprudence permitting voting restrictions in primary elections that would be unconstitutional in the general election." (collecting cases)).

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*See infra* at 14–15. Such a holding would mean the summary affirmance in *Williams* broke important new ground, which stretches the opinion far beyond what it can bear. *Mandel*, 432 U.S. at 176 (holding "a summary affirmance is an affirmance of the judgment only, the rationale of the affirmance may not be gleaned solely from the opinion below.").

Further compromising any argument based on *Williams* are important doctrinal shifts. Even if the Court were to embrace the fiction that WTA should be viewed as a one-step election of an at-large, multi-member body, see infra at 14, the summary affirmance in Williams does not control. Wesberry and Williams were decided before White v. Regester struck down use of a multi-member at-large election system. 412 U.S. at 768. White fundamentally shifted the legal landscape by striking down a multi-member at-large election that operated to dilute minority votes. See infra 17-18. Moreover, the portion of the lower court's decision in Williams that Defendants rely on—"in a democratic society the majority must rule, unless the discrimination is invidious" (288 F. Supp. at 627)—is no longer good law. Bush dispensed with invidiousness—at least as that term was understood at the time of Williams<sup>11</sup>—as a necessary element of a one person, one vote claim. 531 U.S. at 104–05. In its place, the Court stated that "the State may not, by later arbitrary and disparate treatment, value one person's vote over that of another," and "the idea that one group can be granted greater voting strength than another is hostile to the one man, one vote basis of our representative government." Id. (internal citation omitted). Absent was any suggestion that a finding of invidiousness was necessary to the Court's finding. Later cases support this reading of Bush. See e.g., Hunter v. Hamilton Ctv. Bd. of Elections, 635 F.3d 219, 233 (6th Cir. 2011) (rejecting that an election-related violation of the Equal Protection

<sup>&</sup>lt;sup>11</sup> "Invidious discrimination" at the time of *Williams* entailed some level of "intentional" or "purposeful" discrimination. *See Washington v. Davis*, 426 U.S. 229, 242 (1974) ("[A]n invidious discriminatory *purpose* may often be inferred from the totality of the relevant facts....").

Clause always requires intentional discrimination).<sup>12</sup>

In short, neither history nor precedent saves WTA from constitutional invalidation under the Equal Protection Clause.<sup>13</sup>

# III. WTA VIOLATES "ONE PERSON, ONE VOTE"

Under the Constitution, a state may decide in the first instance the manner in which it selects Electors, including by popular vote or by direct appointment by the legislature. *Bush*, 531 U.S. at 104–05 (citing *McPherson*, 146 U.S. at 35). When a state exercises that choice in favor of giving its citizens the right to vote for President, that right becomes a "fundamental" right to a vote of "equal weight" endowed with "equal dignity," and is subject to the Equal Protection Clause. *Id.; see also Williams*, 393 U.S at 29. The Fourteenth Amendment prohibits a state from discarding or diluting the votes of certain of its citizens, while magnifying others, unless that outcome is required by a specific constitutional provision. *Gray*, 372 U.S. at 380–81; *Bush*, 531 U.S. at 104. Here, WTA violates these principles, by discarding the votes of non-Democratic voters at an intermediate step in a two-step election for President. *See Gray*, 372 U.S. at 379

<sup>&</sup>lt;sup>12</sup> "Any voting system that arbitrarily and unnecessarily values some votes over others cannot be constitutional." *Black v. McGuffage*, 209 F. Supp. 2d 889, 899 (N.D. Ill. 2002); *see also Hunter v. Hamilton Cty. Bd. of Elections*, 850 F. Supp. 2d 795, 835 (S.D. Ohio 2012) ("Plaintiffs must show only that the Board's actions resulted in the arbitrary and disparate treatment of the members of the electorate.") (citing *Hunter v. Hamilton Cty. Bd. of Elections*, 635 F.3d 219, 233 (6th Cir. 2011), which in turn cites *Bush*).

<sup>&</sup>lt;sup>13</sup> The remaining cases that Defendants cite do not raise legal issues that have not already been addressed. *See* Defs.' Mot. Dismiss at 11–13; *e.g., Delaware v. New York*, 385 U.S. 895 (1966) (declining to hear the case and issuing no relevant opinion); *Williams v. North Carolina*, No. 3:17-CV-265, 2017 WL 4936429, at \*5 (W.D.N.C. Oct. 2, 2017), report and recommendation adopted, 2017 WL 4935858 (W.D.N.C. Oct. 31, 2017) (recommending dismissal of a pro se plaintiff's claims against the WTA system in part because "Plaintiff has not offered any cases or argument to rebut the application" of almost entirely the same list of cases raised in Defendants' motion here); *Conant v. Brown*, 248 F. Supp. 3d 1014, 1025 (D. Or. 2017) (dismissing a pro se plaintiff's challenge to a winner-take-all system because "Williams is still good law and Plaintiff offers no basis for distinguishing it."); *Schweikert v. Herring*, No. 3:16-CV00072, 2016 WL 7046845, at \*2 (W.D. Va. Dec. 2, 2016) (same); *Hitson v. Baggett*, 446 F. Supp. 674, 675–76 (M.D. Ala 1978.), aff'd without opinion, 580 F.2d 1051 (5th Cir. 1978) (addressing the apportionment of Electors to the states and the constitutionality of popular elections for Electors, neither of which are challenged here); *Penton v. Humphrey*, 264 F. Supp. 250, 251–52 (S.D. Miss. 1967) (addressing the constitutionality of the Electoral College itself, and incorrectly finding that a Supreme Court's denial of leave to file a bill of complaint in Delaware without any relevant opinion was a binding decision on the merits).

n.12.

# A. Defendants Rely on a Fiction of Convenience

Defendants first argue that they do not discard votes for President because Massachusetts' voters do not vote for President in a two-step election, they vote for Electors, and each vote counts equally. Defs.' Mot. Dismiss at 1, 15–16, 19. But the actual practice of Presidential elections in Massachusetts belies the central premise underlying Defendants' argument—that Massachusetts voters vote for Electors and not a Presidential candidate.<sup>14</sup> *See* Compl. ¶¶ 4, 13-14, 54. Electors in Massachusetts are specifically *prohibited* from appearing on the ballot. MASS. GEN. LAWS C. 54, § 43. Nor do they perform any functions requiring "reasonable independence and fair judgment," *McPherson*, 146 U.S. at 36; instead, they are bound to the candidate with a plurality of the people's votes, MASS. GEN. LAWS C. 53, §8. The indisputable reality is this: people vote for the President and the states allocate Electors *solely* to consolidate and count those votes.<sup>15</sup> To argue otherwise today is like arguing that voting machines cast votes, not the people who pull the lever.

# B. Understood as a Presidential Election, WTA Violates One Person, One Vote

Because the election for President in Massachusetts is a two-step election, the Supreme

Court's decision in Gray v. Sanders controls here. In Gray, the Court reviewed Georgia's

<sup>&</sup>lt;sup>14</sup> Indeed, Defendants attempt to equate Massachusetts' modern Presidential elections to the indirect democracy system used by states in the time of the Framers. Defs.' Mot. Dismiss at 9-10. That system was intended to ensure that the election of the President was *not* left "to the people," *Gray*, 372 U.S. at 377 n.8, and was instead given to an "intermediate body of electors" that would be "detached" from "cabal, intrigue, and corruption," *The Federalist Papers: No. 68* (Alexander Hamilton) (Mar. 14, 1788). Today's reality is quite different.

<sup>&</sup>lt;sup>15</sup> That Massachusetts' Presidential elections are not merely elections for Electors but rather elections for President is underscored by how everyone (voters, candidates, and Electors alike) participates in these elections. Presidential candidates campaign for the votes *of the people*, not the votes of Electors. Electors refrain from campaigning for votes altogether. Presidential elections are publicly called and celebrated after the vote of the people in November, not after the vote of the Electors in December, and one would be hard pressed to find many voters who could recall the name of an Elector.

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"deeply rooted and long standing" practice of allocating a set number of "units" to each county to consolidate and count the vote in that county in primary elections for statewide offices. *Gray*, 372 U.S. at 370–71, 76. All of a county's units were awarded via a winner-take-all county-wide vote, and the candidate who had the most units after a tally of all the county-level elections in the state won. *Id.* The Court struck down Georgia's system on the basis that it weighted rural votes more than urban votes. *Id.* at 379. The Court also held, however, that even if the state allocated a perfectly proportional number of units to each county, the system would still unconstitutionally weight certain votes because votes for a candidate who failed to win in a given county would be counted "only for the purpose of being discarded" before the final tally. *Id.* at 381 n.12.

WTA is indistinguishable from the system rejected in *Gray* in any material respect. As Georgia did in *Gray*, Massachusetts relies on a two-step process for counting votes, using WTA to consolidate and count the vote of the people at the first step. As in *Gray*, because of WTA, only the votes for the winning candidate matter in the second step when the final vote count occurs. And as in *Gray*, votes for a candidate who failed to win a plurality in the first step are thus counted "only for the purpose of being discarded" before the final tally. *Id*. Indeed, the Ninth Circuit has observed that "Georgia's primary election system [in *Gray*] was . . . similar to the electoral college used to elect our President." *Public Integrity*, 836 F.3d at 1025.

To the degree Defendants engage with this clear analogy, they argue *Gray* was a case about "geographical" discrimination, and Plaintiffs' case is not. Defs.' Mot. Dismiss at 17. As an initial matter, it is incorrect to say Plaintiffs have not alleged geographic discrimination: Massachusetts' WTA system operates to minimize the votes of non-Democratic voters *in Massachusetts* in exactly the way the *Gray* court condemned. Defendants' attempts to limit

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Gray's holding to discrimination between rural and urban voters has no basis in that decision.<sup>16</sup>

In any event, however, Plaintiffs do not need to allege geographic discrimination, as they have plausibly alleged discrimination on the basis of party affiliation—discrimination that was not at issue in *Gray*, an intraparty dispute. *See* Compl. ¶ 5. Defendants themselves cite case law that recognizes "the weight assigned to individual votes cannot depend on where individual voters live or whether they belong to identifiable ... **political groups**." *See* Defs.' Mot. Dismiss at 16 (citing *Graham v. Fong Eu*, 403 F. Supp. 37, 45 (N.D. Cal. 1975)) (emphasis added). Plaintiffs here are members of minority political parties challenging WTA's discarding of their votes to magnify the voting power of Massachusetts's dominant political party. *Cf. Burns*, 384 U.S. at 88 (affirming that electoral systems cannot be used to "cancel out the voting strength of ... political elements of the voting population") (internal citation omitted). That a state might use the tool of discrimination held unconstitutional in *Gray* inapplicable.

In short, having decided to treat its elections as one for *President*, Massachusetts cannot now avoid the clear implication of *Gray v. Sanders*—and its application of the one person, one vote principle—by disclaiming its own choice.<sup>17</sup>

# C. Misunderstood as an Elector Election, WTA Violates One Person, One Vote

<sup>&</sup>lt;sup>16</sup> Gordon v. Lance, which described Gray as a case addressing "geographic discrimination," is not to the contrary. 403 U.S. 1, 5 (1971) (citing Gray, 372 U.S. at 381 n. 12). In Gordon, plaintiffs challenged a rule that required a threshold of 60% of the vote to create a new state debt. *Id.* at 2. The Supreme Court held Gray did not invalidate that threshold requirement—and *further* held that the requirement did not operate to discriminate against any defined political group. Plaintiffs in this case do not challenge a threshold requirement; they challenge a system that *does* operate to discriminate against a defined political group, and operates exactly like the system in *Gray*.

<sup>&</sup>lt;sup>17</sup> Indeed, even as Defendants' motion hinges on the fiction that votes are cast for *Electors*, they consistently undermine their position by acknowledging that voters place their votes for *President. See e.g.*, Defs.' Mot. Dismiss at 2, 6, 19, 20. Sometimes even in the same breadth. *See* Defs.' Mot. Dismiss at 16 ("Massachusetts's winner-take-all system readily satisfies that standard because it does not weigh **votes for Electors** differently depending on where a voter resides. Every vote cast **for Presidential Electors** in Massachusetts is given equal weight in determining **which Presidential candidate receives the most votes** and is awarded the State's slate of electors") (emphasis added).

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Even viewing Massachusetts' Presidential election as one in which residents of Massachusetts only vote for Electors, WTA still fails to satisfy the requirements of equal protection that apply to at-large, multi-member elections, which Massachusetts' statewide election for its 11 Presidential Electors would be under this premise.

Defendants claim that a multi-member, at-large election for Electors "automatically" satisfies the Fourteenth Amendment because, in such a system, "each citizen has an equal right to vote, the same as any other citizen has." Defs.' Mot. Dismiss at 10-11 (citing McPherson and Wesberry). But as the Supreme Court has explained, "apportionment schemes including multimember districts" are constitutionally invalid "if it can be shown that 'designedly or otherwise, a multi-member constituency apportionment scheme, under the circumstances of a particular case, would operate to minimize or cancel out the voting strength of ... political elements of the voting population." Burns, 384 U.S. at 88 (quoting Fortson v. Dorsev, 379 U.S. 433, 439 (1965)); see White, 412 U.S. at 769 (finding a multi-member, at-large election scheme unconstitutional). The Court later recognized the "right to vote can be affected by a dilution of voting power" through the adoption of at-large voting schemes just as much as "by an absolute prohibition on casting a ballot." Allen v. State Bd. of Elections, 393 U.S. 544, 569 (1969) (abrogated on other grounds by Ziglar v. Abbasi, 137 S. Ct. 1843, 1855 (2017)). Here, Plaintiffs allege that Massachusetts' system unconstitutionally cancels out the voting strength of political minorities. See Compl. ¶¶ 45–53. This dilution of votes in an at-large, multi-member election violates the Constitution.

Applying this standard in 1973, the Supreme Court in *White v. Regester* invalidated a multi-member districting scheme because Mexican-Americans were "effectively removed from the political processes" of a Texas county because their votes were submerged into an at-large pool with a majority that was likely to multiply its voting power. 412 U.S. at 769. The scheme

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the Supreme Court held was unconstitutional in *White* is indistinguishable from Defendants' own characterization of WTA—a statewide, at-large election for Massachusetts' 11 Presidential Electors, in which political minorities have little to no chance of being represented by even one Elector. Indeed, Massachusetts has selected 95 Electors in the last eight elections, and *all* were members of the Democratic party, notwithstanding the 9,619,746 votes for non-Democratic candidates over that time. Compl. ¶¶ 5–7, 13. If translating millions of non-Democratic votes into zero representation does not "cancel out the voting strength" of non-Democratic voters, then it is difficult to know what would meet the constitutional standard for dilution.

In fact, if Massachusetts had authorized this type of election for any other multi-member body of elected officials, it would be obvious that it violated the Constitution. For instance, Massachusetts could not constitutionally abolish its 40 single-member state senate districts and instead hold a statewide election for all of its senators by letting voters choose whether they wanted that body to be composed entirely of Democratic or Republican Senators. Such a scheme would *always* result in one-party rule with all 40 state senate seats awarded to the party receiving a plurality of the votes. This hypothetical state senate scheme would violate one person, one vote because it deliberately cancels out the voting strength of political minorities in the state. For the same reasons, WTA violates one person, one vote too.<sup>18</sup> *See Burns*, 384 U.S. at 88.

Defendants argue Plaintiffs' claims fail because the Fourteenth Amendment does not require proportional representation. Defs.' Mot. Dismiss at 17–19. This argument is a straw man. First, Plaintiffs' primary request for relief is that the Court rule WTA unconstitutional and order that Massachusetts adopt a constitutional method. It is only if Massachusetts fails to do so

<sup>&</sup>lt;sup>18</sup> This analysis necessarily applies to multi-member elections only, not to single-member elections. Even though many votes are "discarded" in the election of Governor, it is constitutionally acceptable because the election is for a single statewide office. But here, continuing with the premise Massachusetts holds a statewide election for 11 Electors, it must use a method of election that does not dilute the votes of millions of citizens.

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that the Plaintiffs request the Court impose a remedy. Second, whether the Constitution requires fully "proportional representation" in any given electoral context is not the issue. Defendants cannot justify a patently unconstitutional system by noting that the Supreme Court has, in other contexts, stated that a maximally-proportionate representative body was not required.

# IV. WTA VIOLATES PLAINTIFFS' RIGHT TO FREEDOM OF ASSOCIATION

WTA not only implicates Fourteenth Amendment rights, it also burdens Plaintiffs' First Amendment rights, requiring heightened scrutiny by the Court. *Employment Div., Dept. of Human Res. v. Smith*, 494 U.S. 872, 881 (1990) (recognizing that heightened scrutiny applies when more than one constitutional claim is at issue (termed a "hybrid" claim)). When determining whether a state election law violates First and Fourteenth Amendment associational rights, a court must weigh the "character and magnitude" of the burden the State's rule imposes on those rights against the interests the State contends justify that burden and consider the extent to which the State's concerns make the burden necessary. *Burdick v. Takushi*, 504 U.S. 428, 434 (1992) (quoting *Anderson*, 460 U.S. at 789). Here, Plaintiffs adequately allege constitutional harms, yet Defendants fail to advance *any* state interest.

The Complaint alleges that WTA burdens the political association rights of minority party voters by discarding, or at the very least diluting, the votes of minority party members and magnifying the impact of majority party votes, *see e.g.*, Compl. ¶¶ 14, 43-45. As the Supreme Court has recognized, membership in a political party means little if the members of that party are denied an equal, full, and effective opportunity to participate in the political process. *Rhodes*, 393 U.S. at 31; *Reynolds*, 377 U.S. at 565 (noting that "each and every citizen has an inalienable right to *full and effective* participation in the political process") (emphasis added). Under WTA, votes are discarded "at the crucial juncture at which the appeal to common principles may be

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translated into concerted action, and hence to political power in the community." *Tashjian*, 479 U.S. at 216. In short, WTA eliminates all practical opportunity for non-dominant party voters in Massachusetts, including Plaintiffs here, to effectively voice their preference for President.<sup>19</sup>

Defendants argue there is no burden on First Amendment rights because WTA does not "limit[] candidates' access to the ballot and thereby limit[] plaintiffs' ability to express their political preferences." Defs.' Mot. Dismiss at 20. But the Constitution provides Massachusetts voters a *full and effective* right to vote—not simply a symbolic opportunity to express their views as uncounted votes thrown into the void. That WTA does not *entirely* deprive members of minority parties of the opportunity to vote does not make it constitutional. *See Kusper v. Pontikes*, 414 U.S. 51, 58 (1973) (restriction on primary voting violated the First Amendment even though it did not "deprive [voters] of all opportunities to associate with the political party of their choice"). Instead, the inquiry concerns the manner and degree to which the regulation burdens the "prime objective" of associating with others in the exercise of political power. *Id.* 

Defendants otherwise make no attempt to justify WTA, let alone identify a state interest that outweighs the WTA-created burden the Complaint alleges on Plaintiffs' First Amendment associational and expressive rights.<sup>20</sup>

<sup>&</sup>lt;sup>19</sup> This "burden is especially great for individuals who do not have ready access to alternative avenues for supporting their preferred politicians and policies[,]" *McCutcheon*,134 S. Ct. at 1449, *i.e.* individuals who lack the wealth to participate in national politics not by associating and voting, but by donating money to candidates. This is especially true here, where the WTA incentivizes candidates to focus only on battleground states that do not include Massachusetts, thereby limiting the chance of Massachusetts voters to exercise their First Amendment rights. The Supreme Court has held that such inventive structures violate the First Amendment. *See, e.g., Arizona Free Enter. Club's Freedom Club PAC v. Bennett*, 564 U.S. 721, 733, 755 (2011) (noting that Arizona's system by which publically funded candidates received funding from the state when privately financed candidates spent additional funds incentivized candidates to spend less money on their own races—just as Plaintiffs here allege candidates are incentivized to ignore, and thus not associate with, Massachusetts voters).

<sup>&</sup>lt;sup>20</sup> Even if any such state interests were identified, they would be entitled to less deference than usually accorded to states in regulating elections because "the State has a less important interest in regulating Presidential elections than statewide or local elections, because the outcome of the former will be largely determined by voters beyond the State's boundaries." *Anderson*, 460 U.S. at 795.

# CONCLUSION

For the reasons stated above, Defendants' motion to dismiss should be denied.

# **REQUEST FOR ORAL ARGUMENT**

Pursuant to Local Rule 7.1(d), Plaintiffs requests oral argument on this motion because

Plaintiffs believe that oral argument may assist the Court in its consideration of this motion.

Dated: June 25, 2018

Respectfully submitted,

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# Certificate of Service

I hereby certify that the above memorandum of law, which I filed electronically through the Court's electronic case filing system on June 25, 2018, will be sent electronically to all parties registered on the Court's electronic filing system.

/s/ Seth V. Jackson Seth V. Jackson

# EXHIBIT A

(Plaintiff's Br. Before Hr'g Upon the Merits, *Williams v. Virginia State Board of Elections*, C.A. No. 4768-A (E.D. Va. May 24, 1968))

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# United States District Court

FOR THE EASTERN DISTRICT OF VIRGINIA

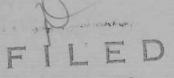
AT ALEXANDRIA

Civil Action No. 4768-A

J. HARVIE WILLIAMS, ET AL., *Plaintiffs*, V.

VIRGINIA STATE BOARD OF ELECTIONS, ETC., ET AL., Defendants

Plaintiffs' Brief Before Hearing Upon the Merits, Upon Defendants' Motion to Dismiss, and Upon Plaintiffs' Motion for Summary Judgment



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IN THE

United States District Court

FOR THE EASTERN DISTRICT OF VIRGINIA

AT ALEXANDRIA

Civil Action No. 4768-A

J. HARVIE WILLIAMS, ET AL., Plaintiffs,

#### ۳.

VIRGINIA STATE BOARD OF ELECTIONS, ETC., ET AL., Defendants

Plaintiffs' Brief Before Hearing Upon the Merits, Upon Defendants' Motion to Dismiss, and Upon Plaintiffs' Motion for Summary Judgment

#### STATEMENT OF THE CASE

The 10 plaintiffs herein seek a declaratory judgment and injunctive relief to enjoin, on constitutional grounds, the operation and enforcement of those provisions of the election laws of the Commonwealth of Virginia which impose upon its citizens the state-wide general ticket system of electing those 10 of its 12 presidential electors whose offices exist solely by virtue of the 10 Representatives in Congress ("representative" electors) apportioned to the people of

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Virginia, and which deny its citizens the right to vote to elect one such elector in and solely by each of their respective Congressional districts.

This class action, in behalf of citizens of the United States resident in Virginia, invokes the provisions of the Privileges and Immunities Clause, of the Due Process Clause, and of the Equal Protection Clause, of the Fourteenth Amendment of the Constitution of the United States, and sections of the United States Code enacted in pursuance thereof, to protect and restore the full benefit of the plaintiffs' right to vote under these and other provisions of the Constitution.

#### QUESTIONS INVOLVED

1. Does the Constitution of the United States require that the "representative" electors of the electoral college be elected in single-member districts, as Representatives in Congress are elected?

2. Does the state-wide general ticket system of electing the "representative" electors of the electoral college result in debasing, abridging or misrepresenting the weight of the votes of citizens of the United States in presidential elections unconstitutionally?

#### STATEMENT OF THE FACTS

The 10 plaintiffs herein are citizens of the United States each resident in, and a duly qualified and registered voter in, a different one of the 10 Congressional districts of Virginia. They bring this action as a class action in behalf of themselves and in behalf of all other citizens of the United States similarly situated who, like themselves, plan to participate in the election of the President and Vice President of the United States by voting in the election of presidential electors.

The defendants herein are Mills E. Godwin, Jr., Governor of the Commonwealth of Virginia, Martha Bell Conway, Secretary of the Commonwealth of Virginia, and the Virginia State Board of Elections, a separate and permanent board created within the office of the Secretary of the Commonwealth. Each of the defendants has a relationship to the operation and enforcement of those provisions of the election laws of Virginia involved in this proceeding.

All material facts in this case are based upon state statutes, the procedures followed by public officials acting thereunder, public documents and records, uncontested and disinterested tabulations of public records and data, and published historical information, documents, records, reports, data and tabulations thereof. Plaintiffs will present and prove at the hearing on the merits of this case, by stipulation, by uncontested exhibits, by testimony, and/or by affidavit or by the Court's taking proper judicial notice of public documents and recognized public facts, the following, among other, facts:

1. There are 10 Congressional districts in Virginia as shown in Exhibit B of the Complaint, as redistricted by the state legislature in November 1965 to conform to the Congressional districting principle of Wesberry v. Sanders, 376 U.S. 1, 84 S. Ct. 526 (1964). Based on the 1960 U.S. Census figures, the population of each of these Congressional districts is as nearly equal as is practicable, as follows:

First District	401,052
Second District	419,642
Third District	408,494
Fourth District	386,184
Fifth District	386,179
Sixth District	381,611
Seventh District	377,511
Eighth District	400,812
Ninth District	386,948
Tenth District	418,510

The total population of Virginia under the 1960 Census is 3,966,949, and the mathematical average for each of the 10 Congressional districts would therefore be 396,695.

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2. The form of ballot uniformly used throughout Virginia for voting in presidential elections is as shown in Exhibit A attached to the Complaint. It lists under the name of each political party and the nominees thereof for President and Vice President the names of that party's elector candidates, two designated as at-large and one listed and designated as from and resident in each of the respective 10 Congressional districts of Virginia. It permits a voter to vote only for one or another political party, and thus for the party's nominees for President and Vice President. A vote cast on such ballot constitutes, under Virginia election laws, one vote for each of the 12 electors listed thereon under the name of the party and its nominees. Using the uniform ballot, no vote can be cast and counted for any elector or electors individually, or separately from the other electors.

3. Using the uniform ballot, it is impossible to cast one vote for each of the two at-large electors and only one additional vote for the one additional elector candidate from the voter's own Congressional district. Also, it is impossible to prevent the votes cast by voters in other Congressional districts from being counted as a vote for the election of an elector candidate from one's own Congressional district.

4. The Official Statements of the Vote in Virginia for Electors of President and Vice President, as compiled from Official Records by the Secretary of the State Board of Elections, list and show only the whole number of votes cast in each county for the respective party nominee for President. It does not list or show any vote or votes as such for any individual elector or electors of any political party or from any Congressional district.

5. In the 1964 presidential election, the official state-wide popular vote in Virginia was:

For Lyndon B. Johnson	558,038	53.5%
For Barry M. Goldwater	481,334	46.2%
For Eric Hass	2,895	.3%

Johnson's plurality was 76,704. All 12 of Virginia's Democratic Party electors for Johnson were thereby deemed elected under Virginia's election laws, and all 12 of Virginia's presidential electors cast their ballots for Johnson.

6. In the 1964 presidential election, the official popular vote cast in each of the 10 respective Congressional districts of Virginia was:

# 1st District

For Johnson For Goldwater	60,386 45 852	$56.8\%\ 43.2\%$
	10,002	40.270
zna District		
For Johnson For Goldwater	57,993 35,887	61.8% 38.2%
3rd District		
For Johnson For Goldwater	58,015 76,388	$\begin{array}{c} 43.2\% \\ 56.8\% \end{array}$
4th District		
For Johnson For Goldwater	$43,336 \\ 45,102$	49.0% 51.0%
5th District		
For Johnson For Goldwater	$37,134 \\ 40,901$	$47.6\%\ 52.4\%$
6th District		
For Johnson For Goldwater	$53,254 \\ 57,064$	$48.3\%\ 51.7\%$
7th District		
For Johnson For Goldwater	40,075 38,645	$50.9\%\ 49.1\%$
8th District		
For Johnson For Goldwater	$47,781 \\ 40,730$	$54.0\%\ 46.0\%$
	For Goldwater 2nd District For Johnson For Goldwater 3rd District For Johnson For Goldwater 4th District For Johnson For Goldwater 5th District For Johnson For Goldwater 7th District For Johnson For Goldwater 8th District For Johnson For Goldwater Sth District For Johnson For Goldwater	For Goldwater45,8522nd DistrictFor JohnsonFor Johnson57,993For Goldwater35,8873rd DistrictFor JohnsonFor Johnson58,015For Goldwater76,3884th DistrictFor GoldwaterFor Johnson43,336For Goldwater45,1025th DistrictFor GoldwaterFor Johnson37,134For Goldwater40,9016th DistrictFor GoldwaterFor Johnson53,254For Goldwater57,0647th DistrictFor GoldwaterSth District38,645Sth DistrictS8,645

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9th District			
For Johnson For Goldwater	55,783 37,447	$59.8\%\ 40.2\%$	
10th District			
For Johnson For Goldwater	$104,281 \\ 63,318$	$62.2\%\ 37.8\%$	

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7. In the 1964 presidential election, if one elector were elected in, from, and solely by the votes cast in, each of the 10 respective Congressional districts of Virginia, and only two electors were elected at-large on a state-wide basis, the presidential electors elected would have voted as follows:

		For Johnson	$For\ Goldwater$
1st	District	1	
2nd	District	1	
3rd	District		1
4th	District		1
5th	District		1
6th	District		1
7th	District	1	
	District	- 1	
	District	1	
0th	District	1	
		6 2	4
	Two at-large	2	
		<u> </u>	
	Total	8	4

Thus, 60.0% of Virginia's district or representative presidential electors would have voted for Johnson and 40% would have voted for Goldwater. The other two at-large presidential electors would have voted for Johnson, with the result that 66.66% of all of Virginia's presidential electors would have voted for Johnson and 33.33% would have voted for Goldwater. 8. In the 1960 presidential election, the official state-wide popular vote in Virginia was:

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For Richard M. Nixon	404,521	52.4%
For John F. Kennedy	362,327	47.0%
For C. Benton Coiner	4,204	.5%
For Eric Hass	397	.1%

Nixon's plurality was 42,194. All 12 of Virginia's Republican Party electors for Nixon were thereby deemed elected under Virginia's election laws, and all 12 of Virginia's presidential electors cast their ballots for Nixon.

9. In the 1960 presidential election, the official popular vote cast in each of the 10 respective Congressional districts of Virginia (omitting the independent party candidates) was:

## 1st District

36,004	50.4%
35,061	49.1%
29,184	42.4%
39,195	56.9%
57,912	62.4%
34,448	37.1%
24,684	41.0%
34,820	57.8%
31.042	51.8%
28,366	47.3%
51,416	59.6%
34,663	40.2%
	29,184 39,195 57,912 34,448 24,684 34,820 31,042 28,366 51,416

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7th District           For Nixon         37,637         60.6%           For Kennedy         24,252         39.0%           8th District         53.0%           For Nixon         34,779         53.0%           For Nixon         34,779         53.0%           9th District         50.8%         51.0%           10th District         51.0%         51.0%           For Nixon         39,874         48.6%           For Kennedy         41,776         51.0%           10th District         50.8%           For Nixon         61,989         50.8%           For Kennedy         59,450         48.8%				
For Kennedy       24,252       39.0%         8th District	1	th District		
For Nixon         34,779         53.0%           For Kennedy         30,296         46.1%           9th District				
For Kennedy       30,296       46.1%         9th District		8th District		
For Nixon         39,874         48.6%           For Kennedy         41,776         51.0%           10th District         50.8%				
For Kennedy         41,776         51.0%           10th District		9th District		
For Nixon 61,989 50.8%				
0010/0	1	10th District		

10. In the 1960 presidential election, if one elector were elected in, from, and solely by the votes cast in, each of the 10 respective Congressional districts of Virginia, and only two electors were elected at-large on a state-wide basis, the presidential electors elected would have voted as follows:

For Nixon For Kennedy

		3
1st District	1	
2nd District		1
3rd District	- 1	
4th District		1
5th District	1	
6th District	ī	
7th District	ĩ	
8th District	ī	
9th District		1
10th District	1	-
and the second se	7	3
Two at-large	2	
Total	9	3
	—	

Thus 70% of Virginia's district or representative presidential electors would have voted for Nixon and 30% would have voted for Kennedy. The other two at-large presidential electors would have voted for Nixon, with the result that 75% of all of Virginia's presidential electors would have voted for Nixon and 25% would have voted for Kennedy.

11. California's number of Representatives in Congress and number of "representative" electors was 23 in 1948 and 38 in 1964. New York's number of Representatives in Congress and number of "representative" electors was 45 in 1948 and 41 in 1964. Each of these were based on the 1940 Census and the 1960 Census respectively. The number of Representatives in Congress and the number of "representative" electors of 25 of the 50 states was changed based on the changes in the 1960 Census from the 1950 Census.

## JURISDICTIONAL MATTERS RAISED BY DEFENDANTS

## 1. Proper Parties Defendant

#### A. The Governor

The Governor of Virginia is a proper party defendant in this action. It is his duty to certify to the Administrator of General Services, and to the presidential electors elected in Virginia, the names of the presidential electors so elected in Virginia and the canvass or other ascertainment under the law of the number of votes given or cast for each person. See 3 U.S.C.A. 6, as amended October 31, 1951.

He therefore has a special and definite relation to this suit. He should be enjoined by this Court against certifying the election of presidential electors in Virginia except as they shall have been elected in accordance with the ruling of this Court.

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#### B. The Secretary of the Commonwealth

The Secretary of the Commonwealth is a proper party defendant in this action. Under Section 24-24, Chapter 3 of Title 24 of the Code of Virginia, as amended, the Virginia State Board of Elections is a separate and permanent Board created "within" the office of the Secretary of the Commonwealth. All of the acts and records of the State Board of Elections are therefore "within" the office of the Secretary of the Commonwealth. The validity and authenticity of any act of certification of the State Board of Elections is therefore subject to certification by the Secretary of the Commonwealth. The Secretary of the Commonwealth also signs the certificate of election of electors that is forwarded by the Governor to the Administrator of General Services.

The Secretary of the Commonwealth has a special relation to this suit and is therefore a proper party defendant herein.

## 2. Not an Action Against the Commonwealth of Virginia

This action is clearly not an action against the Commonwealth of Virginia, as contended by defendants. This action is similar in principle and theory of jurisdiction to the citizen suit involved in the important case of *Mann* v. *Davis*, 213 F. Supp. 577, that arose in this Court. This Court's statement on page 3 of its opinion in that case clearly applies in answer to the same contention of the defendants here:

"Nor is this a suit against a State barred by the Eleventh amendment, as defendants contend. It is a suit against State officials acting pursuant to State laws, a type of action universally held appropriate to vindicate a Federally protected right. Ex parte Young, 209 U.S. 123, 155-56 (1908); Duckworth v. James, 267 F. 2nd 224, 230-31 (4th Cir.) cert. denied 361 U.S. 835 (1959); Kansas City So. Ry. v. Daniel, 180 F. 2nd 910, 914 (5th Cir., 1950)." This Court's ruling in that case was sustained by the United States Supreme Court's decision on appeal in *Davis* v. *Mann*, 377 U.S. 678, 84 S. Ct. 1441 (1964).

## 3. Class Action

The action in Davis v. Mann, supra, was a class action of plaintiffs "residents, taxpayers and qualified voters of Arlington and Fairfax Counties filed . . . . in their own behalf and on behalf of all voters in Virginia similarly situated, challenging the apportionment of the Virginia General Assembly". At 377 U.S. 680, 84 S. Ct. 1442. That action was sustained as a class action as other similar class actions have been sustained, in the United States Supreme Court. Baker v. Carr, 369 U.S. 186, 82 S. Ct. 691 (1962); Wesberry v. Sanders, 376 U.S. 1, 84 S. Ct. 526 (1964); Reynolds v. Sims, 377 U.S. 533, 84 S. Ct. 1362 (1964).

In the instant case the action is brought by 10 plaintiffs who are citizens of the United States and duly registered and qualified voters under the laws of Virginia. They are each resident in, and qualified voters in, a different one of the 10 Congressional districts of Virginia and bring this action pursuant to Rule 23 of the Federal Rules of Civil Procedure, in behalf of themselves and in behalf of all other citizens of the United States similarly situated, as recited in paragraph 4 of the Complaint,

"\*\*\* who are also residents and duly qualified voters of one of said Congressional districts of Virginia and who, like themselves, plan to participate in the election of the President and Vice President of the United States by voting in the election of presidential electors and have a common interest in protecting their individual and several voting rights in such elections, their right to effective representation therein, and the rights of representation therein of minors and others resident in their respective Congressional districts who are ineligible, or otherwise unable, to vote in such elections."

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This action is brought to protect and restore the full benefit of plaintiffs' right to vote. Plaintiffs seek to elect one presidential elector in, and solely by a plurality of the votes cast in, their own respective Congressional districts. They seek thereby to prevent the dilution of their own votes, and the denial of any possibility of their having any electoral representation when not part of the state-wide plurality, that now result from counting the votes of all voters throughout the state in determining the plurality of votes for the election of the one presidential elector that has been apportioned to the people resident in their respective Congressional district by virtue of their numbers. Thus, they seek to prevent the votes of residents in other Congressional districts of Virginia from being counted in determining the plurality of votes for the election of one presidential elector in, by, and from their own respective Congressional district.

As a natural and necessary corollary thereof, they seek to have their own votes not counted in determining the plurality of votes for electing one presidential elector in, by, and from Congressional districts of Virginia other than their own respective Congressional district.

Consequently, it is believed that a more truly representative and comprehensive group of plaintiffs having similar and common interests in the relief sought could not likely be conceived for bringing this action and seeking such relief.

## 4. Plaintiffs' Standing To Sue

Plaintiffs herein have full capacity and standing to sue and to prosecute this action against the defendants. Defendants' contention to the contrary is without legal support.

Qualified voters of certain counties of Tennessee who sought a declaration that a state apportionment statute was an unconstitutional deprivation of equal protection of the laws, were held to have standing to maintain such suit. Baker v. Carr, 369 U.S. 186, 82 S. Ct. 691 (1962). See ruling and discussion of this point at 369 U.S. 206-208, 82 S. Ct. 704-705, in which it is stated:

"And Coleman v. Green, supra, squarely held that voters who allege facts showing disadvantage to themselves as individuals have standing to sue .....

"It would not be necessary to decide whether appellants' allegations of impairment of their votes by the 1901 apportionment will, ultimately, entitle them to any relief, in order to hold that they have standing to seek it."

Also, a qualified voter in Georgia seeking to restrain the use of Georgia's county unit system as a basis of counting votes, was held to have standing to sue. *Gray* v. *Sanders*, 372 U.S. 368, 83 S. Ct. 801 (1963) in which the rule was succinctly stated, at 372 U.S. 375, 83 S. Ct. 805,

"We also agree that appellee, like any person whose right to vote is impaired (Smith v. Allwright, supra; Baker v. Carr, supra, 369 U.S. pp. 204-208, 82 S. Ct. pp. 703-705), has standing to sue."

Similarly, citizens and voters of Fulton County, Georgia, seeking to compel a redistricting of Congressional districts established under Georgia statutes, were held to have standing to sue. *Wesberry* v. *Sanders*, 376 U.S. 1, 5-7, 84 S. Ct. 526, 528-529 (1964).

#### 5. Subject Matter

The subject matter of this action is the validity under the Constitution of the United States of those provisions of Virginia's election laws providing the method and procedure of electing electors of the President and Vice President of the United States in Virginia. The subject matter is therefore comparable to the subject matter involved in *Mc*-*Pherson* v. *Blacker*, 146 U.S. 1, 13 S. Ct. 3 (1892), in which the United States Supreme Court ruled constitutionally

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valid a Michigan election law providing for the election of electors of the President and Vice President of the United States in each of the twelve Congressional districts of Michigan as single-elector districts.

In the *McPherson* case, which arose on writ of error from a decision of the Supreme Court of Michigan the United States Supreme Court ruled, *supra*, pages 23 and 24:

"It is argued that the subject matter of the controversy is not of judicial cognizance, because it is said that all questions connected with the election of a presidential elector are political in their nature; that the court had no power finally to dispose of them; and that its decision would be subject to review by political officers and agencies, as the state board of canvassers, the legislature in joint convention, and the governor, or, finally, the Congress.

"But the judicial power of the United States extends to all cases in law or equity arising under the Constitution and laws of the United States, and this is a case so arising, since the validity of the state law was drawn in question as repugnant to such constitution and laws, and its validity was sustained. *Boyd* v. *Thayer*, 143 U.S. 135....

"The question of the validity of this act, as presented to us by this record, is a judicial question, and we cannot decline the exercise of our jurisdiction upon the inadmissible suggestion that action might be taken by political agencies in disregard of the judgment of the highest tribunal of the State as revised by our own."

The contention that "exclusive authority" to protect the right of citizens to vote for Congressmen had been given to Congress, was rejected by the United States Supreme Court in *Baker* v. *Carr, supra,* and again in *Wesberry* v. *Sanders, supra,* in the following words in the latter case pages 6 and 7 (376 U.S.) and on page 529 (84 S. Ct.):

"\* \* \* but we made it clear in Baker that nothing in the language of that article (Article I, Section 4) gives support to a construction that would immunize state congressional apportionment laws which debase a citizen's right to vote from the power of courts to protect the constitutional rights of individuals from legislative destruction, a power recognized at least since our decision in Marbury v. Madison, 1 Cranch 137, 2 L.Ed. 60, in 1803. Cf. Gibbons v. Ogden, 9 Wheat. 1, 6 L.Ed. 23. The right to vote is too important in our free society to be stripped of judicial protection by such an interpretation of Article I. This dismissal can no more be justified on the ground of 'want of equity' than on the ground of 'non-justiciability.''' (Parenthetical material supplied).

The jurisdiction of this Court clearly exists under the provisions of Article III, Section 2 of the Constitution of the United States, and under the provisions of 28 U.S.C.A. 1331, relating to cases involving a federal question "arising under this Constitution, the Laws of the United States, and Treaties. . . ."

Jurisdiction in this Court has been clearly provided in all cases in which plaintiffs allege a deprivation of their rights as citizens under the provisions of 28 U.S.C.A. 1343, 42 U.S.C.A. 1983 and 42 U.S.C.A. 1988. Many cases of citizen suits charging deprivation of voting rights have been recognized as within the jurisdiction of the United States Courts under those statutes, solely upon the ground of those statutory provisions. *Baker* v. *Carr, supra,* page 187 and pages 198-204 (369 U.S.) or page 694 and pages 700-703 (82 S.Ct.); *Wesberry* v. *Sanders, supra,* page 3 and pages 6 and 7 (376 U.S.) or page 527 and page 529 (84 S.Ct.); *Reynolds* v. *Sims,* 377 U.S. 533, 537, 84 S.Ct. 1362, 1369 (1964), and other similar cases following those cases.

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#### ARGUMENT

#### I. PEOPLE, NOT STATES, ARE ENTITLED TO REPRESENTATIVE ELECTORS UNDER THE CONSTITUTION, JUST AS THEY ARE ENTITLED TO REPRESENTATIVES IN CONGRESS

## A. The Operative Effect of Article II, Section 1, of the Constitution

Article II, Section 1 of the Constitution of the United States creates a body of electors of the President and Vice President of the United States which in numbers and identification is at all times exactly parallel to the dual representation and membership in Congress. It provides:

"Section 1. The executive Power shall be vested in a President of the United States of America. He shall hold his Office during the Term of four years, and together with the Vice President, chosen for the same Term, be elected as follows:

"Each State shall appoint, in such Manner as the Legislature thereof may direct, a Number of Electors, equal to the whole Number of Senators and Representatives to which the State may be entitled in the Congress; ...."

Each state as a political entity is entitled to the two electors who are the counterparts of the two United States senators to which it is entitled as a political entity.

The number of additional electors from a state is the number of Representatives in Congress to which the people of the state are entitled. The national apportionment of Representatives among the states is based upon the total population of the nation and the proportion thereof in each State, calculated from the latest national census, with 435 now being the total number of Representatives. Each Representative is elected by the people of his Congressional district. The only exception is where one or more Representatives may be elected on a state-wide or at-large basis when a proper redistricting shall not have been made prior to the election. When the proportion of the national population residing in one state increases or decreases substantially enough, that state correspondingly gains or loses one or more Representatives. Thus, as a result largely of migration of people into California, California's number of Representatives in Congress has grown from 23 in 1948 to 38 in 1964. On the other hand, New York's number of Representatives in Congress has diminished from 45 in 1948 to 41 in 1964. The number of Representatives in Congress from 25 of the 50 states was changed based on the changes in the 1960 Census from the 1950 Census.

A presidential elector also follows the number of people requisite to entitle them to a Representative in Congress. The number of the "representative" electors of those states have changed in identically the same way.

The apportionment provisions of Section 2 of Article I of the Constitution and of Section 2 of the Fourteenth Amendment of the Constitution and the apportionment statutes enacted in pursuance thereof by Congress, automatically operate functionally as well also as provisions for apportionment of "representative" electors among the states according to the number of persons in each State. It would seem that the framers of the Constitution probably could not have made representative presidential electors any more closely bound to, and inseparable from, the apportionment provisions, acts and procedures applying with respect to Representatives in Congress.

Even the smallest state's one minimum representative elector is attributable to its people. The State cannot keep, acquire, or in any way control, the number of representative electors to be elected within its geographic limits. Chief Justice Fuller recognized this operational effect under Article II, Section 1 of the Constitution in the Mc-*Pherson* case, *supra*, when he noted, near the end of page 35 thereof, as one of the exceptions from the power and jurisdiction of the State thereunder, "the exception of the provisions as to the number of electors. ..."

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It is therefore submitted that the actual operative effect of all the words in context in Article II, Section 1 of the Constitution is that the substantive right to elect one elector, who is the counterpart of a Representative in Congress, lies in the people who constitute each Congressional district.

## B. Dual Citizenship and Dual Representation

The dual character of persons as "citizens of the United States" and as "citizens of the State" is clearly established in the Constitution of the United States by use of the respective terms in the first Articles thereof and by the following positive declaration in the first sentence of Section 1 of the Fourteenth Amendment:

"All persons born or naturalized in the United States and subject to the jurisdiction thereof, are citizens of the United States and of the State wherein they reside."

Dual representation was established in the Constitution in the bi-cameral Congress, providing: (1) for equal representation of states as political entities, regardless of population or any other measure of size, in the Senate by two Senators now elected under the Seventeenth Amendment in state-wide elections by the people in their capacity as citizens of the State; and (2) for representation of the people in their capacity as citizens of the United States by representatives in the House of Representatives elected directly by the people in single-member districts and apportioned among the several states according to the respective numbers of persons. In the discussion of this subject in *Wesberry* v. *Sanders*, 376 U.S. 1, 12-14, 83 S.Ct. 526, 532-533 (1964), the Court quotes William Samuel Johnson of Connecticut as follows:

"in one branch the *people*, ought to be represented; in the *other*, the *States.*"

The difference in the character of the representation in the two houses of Congress is sharply drawn in the provisions of Article I of the Constitution relating to qualifications, specifying: that the Representative shall be:

"an Inhabitant of the State in which he shall be chosen." (italics supplied).

and that the Senator shall be:

"an Inhabitant of the State for which he shall be chosen." (italics supplied).

This balanced and symmetrical structure of dual citizenship and dual representation in Congress applies consistently in the parallel structure of dual representation inherently established in the electoral college. Thus, the election of two electors on a state-wide basis is an election for the State by persons acting in their capacity as "citizens of the State"; and the election of additional electors by each Congressional district would provide separate elections in each state by persons acting in their capacity as "citizens of the United States".

#### II. ELECTIONS OF REPRESENTATIVES AND OF REPRESENTA-TIVE ELECTORS SHOULD BE BY SINGLE-MEMBER DISTRICTS

## A. Because Single-Member Districts Are Required Under National Apportionment Laws

In enacting apportionment acts, Congress has considered that prescribing the guiding principles for the formation of the elective units (districts) of the people to be established in the states is necessarily a part of the function of apportionment being effectuated by Congress. The Apportionment Act of June 25, 1842, 5 Stat. 491, c. 47, R.S. #23, provided that the election should be by districts. This provision was repeated in the superseding Apportionment Act of February 25, 1882, and repeated in substance in each of the subsequent apportionment acts. See Notes to 2 U.S.

C.A. 3, of the Apportionment Act of August 8, 1911, which provided:

"3. Election by districts. In each State entitled under this apportionment to more than one Representative, the Representatives to Congress shall be elected by districts composed of a contiguous and compact territory, and containing as nearly as practicable an equal number of inhabitants. The said districts shall be equal to the number of Representatives to which such State may be entitled in Congress, no district electing more than one Representative."

That Act of 1911, as amended February 14, 1912, 2 U.S. C.A. 2, established that the House of Representatives shall be composed of 435 Members, and apportioned them among the several states, including Arizona, and New Mexico, which became states in 1912. Notwithstanding the subsequent addition of Hawaii and Alaska as states, the total number of Representatives in the House of Representatives is now 435, and reapportionments have been effectuated under the Apportionment Act of June 18, 1929, as amended, 2 U.S.C.A. 2a. The provisions of the Act of 1911, 2 U.S. C.A. 3, above quoted, were not re-enacted in the Act of 1929 as amended, 2 U.S.C.A. 2a, and they expired by express limitations in the Act of 1911 itself upon the enactment of the Reapportionment Act of 1929. See Notes to 2 U.S. C.A. 3.

It should be noted that Article IV, Section 55 of Virginia's Constitution also requires its Congressional districts to be contiguous and compact and to have as nearly as practicable an equal number of inhabitants; and Section 24-4 of Title 24 of the Code of Virginia provides that each of such districts shall choose one representative.

The United States Supreme Court, of course, has since declared in *Wesberry* v. *Sanders, supra*, that Article I, Section 2 of the Constitution, together with the apportionment provisions therein and in Section 2 of the Fourteenth Amendment, "commands" that "as nearly as is practicable one man's vote in a congressional election is to be worth as much as another's." Based on this command, the rule of the case is that the Congressional districts in each of the States shall be essentially equal, or as nearly equal as is practicable. Footnote 10 of the opinion shows that the Court did not need to reach the further arguments based on the Due Process, Equal Protection, and Privileges and Immunities Clauses of the Fourteenth Amendment.

Mr. Justice Black, writing for the Court, concluded at 376 U.S. 18 and 84 S.Ct. 535 with a quotation from James Madison in No. 57 of the Federalist and then stated:

"Readers surely could have fairly taken this to mean, one person, one vote." Gray v. Sanders, 372 U.S. 368, 381, 83 S.Ct. 801, 809, 9 L.Ed. 2d 821.

"While it may not be possible to draw congressional districts with mathematical precision, that is no excuse for ignoring our Constitution's plain objective of making equal representation for equal numbers of people the fundamental goal for the House of Representatives. That is the high standard of justice and common sense which the Founders set for us."

The decision in the Wesberry case, supra, may not have had the clear effect of re-establishing the requirement contained in earlier Apportionment Acts (from 1842 until 1929) providing "no district electing more than one Representative," the single-member district provision.

In any event, Congress recently has clearly reinstated this requirement of election of Representatives in singlemember districts, by further amending the Apportionment Act of 1929 as follows in the Act of December 14, 1967, P.L. 90-196, 81 Stat. 581:

"In each state entitled in the Ninety-first Congress or in any subsequent Congress thereafter to more than one Representative under an apportionment made pursuant to the provisions of subsection (a) of section 22 of the Act of June 18, 1929, entitled 'An Act to provide for apportionment of Representatives' (46 Stat. 26), as amended, there shall be established by law a number of districts equal to the number of Representatives to

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which such State is so entitled, and Representatives shall be elected only from districts so established, no district to elect more than one Representative (except that a State which is entitled to more than one Representative and which has in all previous elections elected its Representatives at Large may elect its Representatives at Large to the Ninety-first Congress)."

## B. Because Single-Member Districts Are Most Representative of All the People

The significant effects of the single-member district mode of electing Representatives versus the multi-member or general ticket system of electing Representatives upon the nature of the resulting representation and upon the character of the government, were reviewed in connection with the enactment of the Apportionment Act of 1842. When President John Tyler approved and signed that Apportionment Act, he lodged with it in writing a question whether the mandatory requirement of the law that the states form single-member districts for election of Representatives was constitutional. A Select Committee of the House of Representatives was promptly designated to review this action by the President, under the chairmanship of John Quincy Adams, who had been a Senator and President.

The Report of the Select Committee designated as Report No. 909, House of Representatives, 27th Congress, 2d Session, was entitled "Apportionment Bill" and dated July 16, 1842. Drawing upon his pre-eminent background in and understanding of the history and constitutional foundations of our government, Adams' Report states the case for single-member districts versus multi-member districts or the general ticket system as follows:

"The President announces that one of his reasons for entertaining deep and strong doubts of the constitutionality of the law which he has approved and signed is, that it purports to be mandatory on the States to form districts for the choice of Representatives in single districts.

"The committee believe this to be by far the most important and most useful provision of the act. They believe, indeed, the establishment of the principle absolutely indispensable to the preservation of this Union. The representation of the people by single districts is undoubtedly the only mode by which the principle of representation, in proportion to numbers, can be carried into execution. The provision of the Constitution is, that the representatives shall not exceed one for every thirty thousand of federal numbers, and every act of apportionment has necessarily prescribed one member for every addition of the common multiple within each of the several States. A more unequal mode of assembling a representation of the people in a deliberative body could not easily be contrived than that of one portion chosen by a general ticket throughout the State, another portion by single districts, and a third portion partly by single and partly by double, treble, and quadruple districts. This forms, in the mass, a representation not of one representative for the common standard number throughout the whole Union, but of States, and cities, and sectional divisions, in knots and clusters of population, of different dimensions and proportions, more likely to be governed by the spirit of party than of patriotism. At present, six of the smaller States acquire an undue share of locally concentrated power in the House, by general ticket elections, stifling the voice and smothering the opinions of minorities nearly equal to half the people of the State, thus disfranchised by the overbearing insolence of a majority, always meager, and as it grows leaner growing more inexorable and oppressive. The larger States have hitherto passed over with little notice this practical iniquity, by which the State of New Hampshire, with five members, preponderates over the State of New York, with forty. But it is in the nature of things impossible that this should be suffered to continue long. The manner of election for the members of this House must be uniform. The general ticket or the single district must be the common rule for all; and if the smaller States will insist upon sending members to this House all of one mind, New York, or Pennsylvania, or Ohio, or all three together.

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will, ere long, teach them by other results the arithmetical combination of concentrated numbers.

"Should the general ticket system universally prevail, it is obvious that the representation in this House will entirely change its character, from a representation of the people to a representation of States, and transform the constitutional Government of the United States into a mere confederation like that which, fiftyfour years ago, fell to pieces for the want of ligatures to hold it together."

Mr. Justice Douglas, in his dissenting opinion in *Fortson* v. *Dorsey*, 379 U.S. 433, 440, 85 S. Ct. 498, 502 (1965), considered the case of single-member versus multi-member districts in elections of state senators in Georgia. Fulton County contained seven senatorial districts and DeKalb County contained three districts and each elected all of their senators on a county-wide voting basis, while other districts containing one or more counties each elected one senator. He agreed with the three-Judge District Court below that

" 'The statute here is nothing more than a classification of voters in senatorial districts on the basis of homesite, to the end that some are allowed to select their representatives while others are not."....

"As appellees point out, even if a candidate for one of those districts (in Fulton or DeKalb) obtained all of the votes in that district, he could still be defeated by the foreign vote (of other districts), while he would of course be elected if he were running in a district in the first group (where voting is by single-member districts). I have no idea how this weighted voting might produce prejudice race-wise, religion-wise, politicswise. But to allow some candidates to be chosen by the electors in their districts and others to be defeated by the voters of foreign districts is in my view an 'invidious discrimination"-the test of unequal protection under the Fourteenth Amendment. Baker v. Carr, 369 U.S. 186, 244, 82 S. Ct. 691, 724, 7 L.Ed.2d 663. I had assumed we had settled this question in Gray v. Sanders, 372 U.S. 368, 379, 83 S. Ct. 801, 808, 9 L.Ed.2d 821.

where we said: 'Once the geographical unit for which a representative is to be chosen is designated, all who participate in the election are to have an equal vote whatever their race, whatever their sex, whatever their occupation, whatever their income, and wherever their home may be in that geographical unit. This is required by the Equal Protection Clause of the Fourteenth Amendment.''' (Parenthetical material supplied)

The majority of the Supreme Court in that case ruled the multi-member district situation in the *Fortson* case, *supra*, to be constitutional because the record in the case lacked any evidence that this "would operate to minimize or cancel out the voting strength of racial or political elements of the voting population." The Court in conclusion stated, with respect to this point, the following at 379 U.S. 439 and 85 S. Ct. 501:

"Since, under these circumstances, this issue has 'not been formulated to bring it into focus, and evidence has not been offered or appraised to decide it, our holding has no bearing on that wholly separate question." Wright v. Rockefeller, 376 U.S. 52, 58, 84 S. Ct. 603, 606, 11 L.Ed. 2d 512."

Again, in Burns v. Richardson, 384 U.S. 73, 88-89, 86 S. Ct. 1286, 1294-1295 (1966), the United States Supreme Court ruled

" 'It may be that this invidious effect can more easily be shown if, in contrast to the facts in *Fortson*, districts are large in relation to the total number of legislators, if districts are not appropriately subdistricted to assure distribution of legislators that are resident over the entire district, or if such districts characterize both houses of a bicameral legislature rather than one. But the demonstration that a particular multi-member scheme effects an indivious result must appear from evidence in the record. Cf. McGowan v. State of Maryland, 366 U.S. 420, 81 S. Ct. 1101, 6 L. Ed. 2d 393. That demonstration was not made here. 14" (Italics supplied.)

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In footnote 14 thereof, the Court states:

"Appellant Burns concedes in his brief that '[i]n the case of the Hawaii House multi-member districts, extensive proofs were not put in as to the details of the submergence of minorities." There may, for example, be merit in the argument that by encouraging block voting, multi-member districts diminish the opportunity of a minority party to win seats. But such effects must be demonstrated by evidence." (Italics supplied).

Plaintiffs contend that they will have shown by a preponderance of the evidence in this case that the state-wide general ticket system of electing representative electors in Virginia, in essence a multi-member district system, clearly operates to "diminish the opportunity of a minority party to win seats" in Virginia's electoral college.

#### III. THE STATE-WIDE GENERAL TICKET SYSTEM OF ELECTING ELECTORS PRODUCES INVIDIOUS MISREPRESENTATION

Under the state-wide general ticket system, all of the several and divisible number of electors who are the counterparts of Representatives in Congress are elected by the same state-wide count of votes by which the two electors who are counterparts of the state's two senators are elected. Many objectionable results are shown to flow from this system, such as:

(1) All those who vote for the nominee, party, or block of electors, that receives less than the highest number of votes in the individual state, are always without any elector representing them in the electoral college,

- (a) even if their votes aggregate as much as 49 per cent of all votes cast in the state, and
- (b) even if their votes constitute a majority, or the highest number, or all, of the votes cast in one or more of the Congressional districts in the state.
- (2) The weight of each voter's vote will inevitably either

(a) be magnified or distorted, when on the winning side, from a plurality, however narrow the margin, to 100 per cent of the total electoral votes of the state, or

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(b) be completely ignored and destroyed, when on the losing side, and be invidiously misrepresented as if supporting the winning plurality.

(3) Different weight is given to the votes of residents of one state from the weight given to the votes of residents of another state. For example, a citizen in New York votes for the election of 43 electors, while a citizen in Virginia votes for the election of only 12 electors. Exhibits presented by plaintiffs in this case will show that the official certified record of the "whole number of votes given for the office of Elector of President and Vice President was 331,590,904" in New York State in the 1960 Presidential Election when the total number of persons voting in New York was 7,290,824, and was 308,032,517 in the 1964 Presidential Election when the total number of persons voting therein was 7,166,013.

(4) The facts proved in this case and reviewed above show, with respect to the 1960 and 1964 Presidential Elections, the following electoral misrepresentation of the minority party in Virginia:

	Virginia's Popular Vote	Percent of Popular Vote	Percent of Virginia's Electoral Vote
1960			
Presidential Election			
For Democrat For Republican	362,327 404,521	47.0     52.4	0 100
1964			
Presidential Election			
For Democrat For Republican	558,038 481,334	$\begin{array}{c} 53.5\\ 46.2\end{array}$	100 0

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(5) Many times as many citizens must vote for a particular nominee in large states as in single-representative states like Delaware, before their voting can have any effect or weight whatsoever in the election of the president.

(6) A substantial premium is placed on fraud in the larger states because a small margin that achieves a plurality carries 100 per cent of the large electoral vote of the state.

(7) Small splinter parties also can affect the whole electoral vote of a state by controlling the small margin that achieves a plurality in the state. For example, in 1948 Henry Wallace drew 509,000 votes largely from Truman, thereby throwing the 47 electoral votes from New York for Dewey with a plurality of only 61,000 votes out of the total of about 6,100,000 votes cast in the state.

(8) The United States Supreme Court has recognized that many inequities are present in the functioning of the electoral college:

In Gray v. Sanders, supra, at 372 U.S. 378, 83 S. Ct. 807:

"The inclusion of the electoral college in the Constitution . . . . validated the collegiate principle despite its inherent numerical inequality, . . ." Repeated in Reynolds v. Sims, 377 U.S. 574-5, 84 S. Ct. 1388.

In Davis v. Mann, supra, at 377 U.S. 692, 84 S. Ct. 1448-49:

"The fact that the maximum variances in the populations of various state legislative districts are less than the *extreme deviations* from a population basis in the composition of the Federal Electoral College . . ." (Italics supplied).

(9) The "one-man one-vote" principle of the Equal Protection Clause of the Fourteenth Amendment of the Constitution is breached in almost every conceivable way.

#### IV. ONE-MAN, ONE-VOTE PRINCIPLE APPLIES IN ALL ELECTIONS

The United States Supreme Court has enunciated the "one-man, one-vote" of equal weight principle of the Equal Protection Clause of the Fourteenth Amendment of the Constitution in recent years in Gray v. Sanders, 372 U.S. 368, 83 S.Ct. 801 (1963) (Georgia county unit system, a state electoral college system, in party primary elections for state-wide elected offices); Wesberry v. Sanders, 376 U.S. 1, 84 S.Ct. 526 (1964) (Georgia congressional districts); and Reynolds v. Sims, 377 U.S. 533, 84 S.Ct. 1362 (1964) (Alabama state legislature apportionment); together with several other cases decided at the same time, namely, WMCA, Inc. v. Lomenzo, 377 U.S. 633, 84 S.Ct. 1418 (1964) (New York state legislature apportionment); Maryland Committee v. Tawes, 377 U.S. 656, 84 S.Ct. 1429 (1964) (Maryland state legislature apportionment); Davis v. Mann, 377 U.S. 678, 84 S.Ct. 1441 (1964) (Virginia state legislature apportionment); Roman v. Sincock, 377 U.S. 695, 84 S.Ct. 1449 (1964) (Delaware state legislature apportionment); and Lucas v. General Assembly of Colorado, 377 U.S. 713, 84 S.Ct. 1459 (1964) (Colorado state legislature apportionment). More recent cases have also applied the principle, with the latest case applying it to elections for local county governments in Avery v. Midland County, Texas, 88 S.Ct. 1114 (April 1, 1968) (single-member county districts of unequal population).

The principle is most fully expounded in the *Reynolds* case, *supra*, at 377 U.S. 554-568, 84 S.Ct. 1377-1382. It may be summarized as follows:

The "one-man one-vote" principle of the Equal Protection clause of the Fourteenth Amendment requires that, whenever and wherever in the United States voting by any of the people is provided for in state or federal elections, the citizens of the United States are entitled to be fairly, justly, and equitably represented and effectively weighted, by district units fairly related

to their numbers, in the outcome of such election; and they are entitled to have their right to vote protected against being abridged, debased, diluted, cancelled, destroyed, discriminated against on the basis of place of residence or on any other arbitrary basis, or otherwise made ineffective or unrepresentative, by or under any laws or practices of any state, or by or under any acts of any officials thereof or of any other persons.

This Constitutional principle applies to protect "the right of all qualified citizens to vote, in state as well as in federal elections". *Reynolds* case, *supra*, at 377 U.S. 554, 84 S.Ct. 13.77. Elections "for the choice of electors for President and Vice President of the United States, Representatives in Congress" are named first, and in that order, in the provisions of the second sentence of Section 2 of the Fourteenth Amendment of the Constitution. It seems clear, therefore, that the principle applies equally with respect to elections of presidential electors.

The Court in the Reynolds case also indicated, at 377 U.S. 577-78 and at 84 S.Ct. 1390, that the strict requirement that Congressional districts must be based on equality of population as nearly as is practicable, as held in Wesberry v. Sanders, supra, may not have to be applied so inflexibly as to state legislative districts because of the larger number of seats in state legislative bodies to be distributed within a state than Congressional seats within the state. Cf. the quotation above, on page 25 of this Argument, from Burns v. Richardson, supra, concerning the possible invidious effect of multi-member districts in relatively large districts.

The gross distortions, inequities, and misrepresentations, above enumerated under heading III of this Argument as existent in the functioning of the electoral college system are not due to the provisions of the Constitution, but are entirely due to the state election laws creating the statewide general ticket system of election of those electors whose offices exist by reason of the Representatives in Congress apportioned on the basis of the number of people. All those distortions, inequities, and misrepresentations of the weight of the votes of citizens of the United States in Virginia clearly constitute invidious discriminations against political minorities, and must be prohibited under the "one-man, one-vote" of equal weight principle of the Equal Protection Clause and related clauses of the Fourteenth Amendment.

#### V. REPRESENTATIVE ELECTORS ELECTED BY SINGLE-MEM-BER DISTRICTS WOULD MEET ALL REQUIREMENTS OF THE CONSTITUTION

When two electors counterpart to a state's two United States senators are elected on a state-wide basis, the people are acting in their capacity as "citizens of the state". To this extent, the electoral college system cannot be made to conform to the "one-man, one-vote" principle. The 102 electors so elected, however, constitute only approximately 19 per cent of the total 538 electoral votes. (The District of Columbia now has 3 electors, two of which we have regarded as counterpart to two United States Senators although the District does not have any Senators; and the other one of which we have regarded as counterpart to a Representative in Congress although the District does not have any Representative. This explains our reference to 436 electors elected by districts although there are only 435 Representatives and corresponding Congressional districts. It also explains our reference to 102 electors as counterpart to Senators although there are only 100 Senators from the 50 states).

The other 436 representative electors, 81 per cent of the total, if elected one in and by each congressional district, would be *constitutionally representative* of the people acting in their capacity as "citizens of the United States" in essentially equal districts. Each voter in the United States, without regard to the state of his residence, would normally vote for three electors: one "representative" elector elected in his Congressional district; and two electors elected on a state-wide basis. The inequalities of voting

in the national elections, which now exist between citizens resident in different states, and the invidious distortions and misrepresentations of the votes of citizens within the same state would be eliminated with respect to the election of 81 per cent of the nation's presidential electors.

The "one-man one-vote" principle would be fully met with respect to the election of this 81 per cent of the electors. The substantive right of the people as citizens of the United States to elect one elector in and by each Congressional district, based on their numbers, would also be fully met.

## A. The Divisibility Principle of the Twelfth Amendment Would Be Met

The provisions of the Twelfth Amendment of the Constitution clearly provide that the electors of a state may be divided as to the persons voted for as president and vice president. The Twelfth Amendment, adopted in 1804, provides that the presidential electors meeting in their respective states:

"shall name in their ballots the person voted for as President, and in distinct ballots the person voted for as Vice President, and they shall make distinct lists of *all* persons voted for as President, and of *all* persons voted for as Vice President, and the number of votes for *each*, which lists they shall sign and certify, and transmit sealed to the seat of government of the United States, directed to the President of the Senate; ... ". (Italics supplied)

The district election of "representative" electors would be fully compatible with the Twelfth Amendment, since it would provide an opportunity for a division of the electors elected in each state. In fact, a number of states had elected their presidential electors by districts prior to the adoption of the Twelfth Amendment, and this practice was followed in a number of subsequent elections by many states.

The general ticket system, on the other hand, is intended to preclude any possibility of division of the electoral votes of the state, and therefore is contrary to the divisibility principle of the Twelfth Amendment.

## B. District-Elected Electors Would More Closely Reflect the Expressed Will of the People

It is a mathematical fact that the greater the number of units in which elective pluralities are determined and are effective to elect one elector in each unit; the smaller will be the population of each unit; the greater will be the citizens' opportunity to have an effective voice in the national election; the smaller will be the number of voters in each unit who are adversely affected thereby when on the losing side; and the more limited in ultimate effect will be any local election fraud, or any splinter party or group, or any severe weather condition or other occurrence affecting voter turnout, or local misinformation that misleads citizens. Election of one elector in each of 436 Congressional districts and the election of two electors in each of 50 states and the District of Columbia is more desirable in all of these respects than the present system involving only 50 state-wide elections of all the electors of each of the 50 states.

Moreover, the election of representative electors in and solely by Congressional districts clearly tends to cause their electoral votes to be more closely representative of the people of the state. The facts established in the evidence herein show that, on such a district basis of election, the following electoral result would have occurred in Virginia:

10	% of Popular Votes	Number of District Elector Votes	% of District Elector Votes	Total Number of Elector Votes	% of Total Elector Votes	
1960						
Presidential Election	on:					
For Democrat For Republican	$47.0 \\ 52.4$	3 7	30.0 70.0	3 9	25.0 75.0	
1964 Presidential Electic						
For Democrat For Republican	-53.5 46.2	6 4	60.0 40.0	8 4	66. <b>6</b> 33.3	

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Similarly, the election of one elector in and solely by Congressional districts would have resulted in the following electoral result in New York:

	% of Popular Votes	Number of District Elector Votes	% of District Elector Votes	Total Number of Elector Votes	% of Total Elector Votes
1960					
Presidential Election	on:				
For Democrat For Republican	$\begin{array}{c} 52.5\\ 47.3\end{array}$	$23 \\ 20$	$\begin{array}{c} 53.5\\ 46.5\end{array}$	25 20	$\begin{array}{c} 55.5\\ 44.5\end{array}$
1964 Presidential Election	on:				
For Democrat For Republican	68.6 31.3	41 0	100.0 0	43 0	100.0 0

## C. Equal Representation of All the People Is Provided Through District Elections of Representative Electors

There is another most important element inherent in the principle of representative government that the founding fathers uniformly adopted throughout the Constitution. James Wilson is reported in Madison's Notes on the Constitutional Convention for Saturday, June 9, 1787, as follows:

"He (Mr. Wilson) entered elaborately into the defence of a proportional representation, stating for his first position that as all authority was derived from the people, equal Numbers of people ought to have an equal number of representatives, and different numbers of people different numbers of representatives.... Representatives of different districts ought clearly to hold the same proportion to each other, as their respective Constituents hold to each other." From Documents on the Formation of the Union, Government Printing Office 1927, page 183, in discussions concerning the rule of suffrage in the first branch (House of Representatives) of Congress.

With Congressional districts of essentially equal population, a representative or a presidential elector elected in that district represents *all* of the people residing in that district. His effective weight within the particular framework of government should be, and is, measured by the essentially equal number of persons residing in each such district. He stands on a par with each other Representative or elector, as the case may be. His effective weight is not, and should not be, measured by the number of people who voted for him as against the number of people who voted for a Representative or elector from another district. Neither should his effective weight be, nor is it, measured by the total number of people who voted in his district (whether for or against him) as against the total number of people who voted in another district in the election of a Representative or elector.

The number of persons residing in any district includes the large number of children who are not of the age to be permitted to vote, resident aliens not permitted to vote, and many persons confined to institutions or homes because of illness or other physical, mental, or legal disability. Under our representative system of government, those people are all entitled to representation on a basis of equality with all other persons residing in districts of essentially equal population. Because of their large numbers across the nation, and the failure or disability for other causes (such as weather, business or whatever) of other qualified persons to vote, only about 37 per cent of the nation's total population voted in the 1964 presidential election, and only about 38 per cent voted in the 1960 presidential election.

Under the polling concept, it is generally accepted that, if only 25 per cent of the population in any district vote in an election, the plurality established by their votes will reach the same elective result that would have been reached by the plurality of the votes of 45 per cent or any other percentage of the population in the same election district if such other percentage of the population had voted. Computer predictions of election results from very early returns are based on this polling principle. This concept, of course, depends for its validity upon complete freedom of oppor-

tunity of all qualified and qualifiable persons in the district to vote and to have their votes properly counted. Under our laws great measures are taken to secure and protect that complete freedom of opportunity for all citizens to vote by secret ballot and to have their votes properly counted.

Thus, given a fair and representative district system of election, it is not so important or meaningful that a President shall have a majority or a plurality of all of the popular votes actually cast in the entire country. If the president is elected by a majority (as required under the Twelfth Amendment) of the whole number of the electors, 81 per cent of whom shall have been elected by a plurality of the votes of citizens of the United States in their respective Congressional districts, each of essentially equal population, his election will more accurately reflect, and more assuredly represent, the choice of the majority of *all* of the "people", even if, by chance, it does not also reflect the choice of the majority or plurality of those who actually voted in the election.

It is important that the President elected shall enter office with a broad base of support demonstrated in the election. The representation of states as political entities in the electoral college by the inclusion of 102 electors, elected two from each state on a state-wide basis, including the District of Columbia, adds significant support for the elected President, since the states are important and effective political entities in the national scene. Moreover, its inclusion along with district-elected "representative" electors maintains the President's constituency, to which he is responsible, the same as the basic constituency of the national government established by the Constitution.

The present state-wide general ticket system is in conflict with the basic constituency of the national government grounded in dual citizenship and dual representation.

#### D. Many of the Founders and Early Statesmen Intended District Elections of Representative Electors

The first proposal of an electoral college system of election of the President that was made at the Constitutional Convention of 1787, which convened on May 25, 1787, was made by James Wilson of Pennsylvania, the highly respected lawyer-framer of the Constitution who later became an Associate Justice of the United States Supreme Court. Madison's Notes reported on June 2, 1787 the following:

"Mr. Wilson made the following motion, to be substituted for the mode proposed by Mr. Randolph's resolution, 'that the Executive Magistracy shall be elected in the following manner: That the States be divided into districts; & that the persons qualified to vote in each district for members of the first branch of the national Legislature elect members for their respective districts to be electors of the Executive magistracy, that the said Electors of the Executive magistracy meet at and they or any of them so met shall proceed to elect by ballot, but not out of their own body person in whom the Executive authority of the national Government shall be vested'.

"Mr. Wilson repeated his arguments in favor of an election without the intervention of the States. He supposed too that this mode would produce more confidence among the people in the first magistrate, than an election by the national Legislature." From Documents on the Formation of the Union, Government Printing Office 1927, page 136.

As late as August 24, 1787, Gouverneur Morris of Pennsylvania also opposed election of the President by the national Legislature, and moved that he "shall be chosen by Electors to be chosen by the People of the several states". This motion was seconded and supported by 4 "ayes" (including Pennsylvania, Virginia, Delaware and New Jersey) and 6 "noes". See Madison's continuing notes on pages 611 and 612 of said Documents.

The language finally adopted at the Convention as Section 1 of Article II of the Constitution is not inconsistent

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with the intent of those motions. The drafters were confronted with the practical problems of promptly setting up and carrying out the election of the first President without time for full implementation by the states. Also it was clear that the state legislature was the instrumentality closest to the people and their control that could perform necessary acts to bring about an apportionment of electors by districts and election by the people.

From the chart appearing in Paullin's "The Atlas of the Historical Geography of the United States", page 89, which will be in evidence here, it will be noted that the election of presidential electors by the people was conducted on a district basis within a number of the states in many presidential elections prior to 1836. Election of electors by districts was employed in the following numbers of states in the respective presidential election years:

			ates electing rict basis	Total number of States participating
1788-89	3	(incl.	Virginia)	10
1792	3		ŭ	15
1796	5	66	" "	16
1800	3			16
1804	5			17
1808	4			17
1812	4			18
1816	3			19
1820	6			24
1824	6			24
1828	4			24
1832	1			24
1836	0			26

With all this background at the Constitutional Convention, and following the Convention, it is not surprising that James Madison wrote to George Hay in a letter dated August 23, 1823 concerning the method of electing electors of the President and Vice President: "The district mode was mostly, if not exclusively in view when the Constitution was framed and adopted; & was exchanged for the general ticket & the legislative election, as the only expedient for baffling the policy of the particular states which had set the example."

When Virginia was about to change from the district system in 1800, Thomas Jefferson, then Vice President, wrote from Philadelphia on January 12, 1800, to James Monroe:

"On the subject of an election by a general ticket, or by districts, most persons here seem to have made up their minds. All agree that an election by districts would be best, if it could be general; but while 10. states chuse either by their legislatures or by a general ticket. it is folly & and worse than folly for the other 6. not to do it. In these 10. states the minority is entirely unrepresented; & their majorities not only have the weight of their whole state in the scale, but have the benefit of so much of our minorities as can succeed at a district election. This is, in fact, ensuring to our minorities the appointment of the government. To state it in another form; it is merely a question whether we will divide the US into 16. or 137. districts. The latter being more chequered, & representing the people in smaller sections, would be more likely to be an exact representation of their diversified sentiments. But a representation of a part by great, & a part by small sections, would give a result very different from what would be the sentiment of the whole people of the U.S., were they assembled together . . ." VII Writings of Thomas Jefferson 401, P.L.Ford (1896).

Chief Justice Fuller in the *McPherson* case, *supra*, page 31, stated:

"In the fourth presidential election, Virginia, under the advice of Mr. Jefferson, adopted the general ticket, at least 'until some uniform mode of choosing a President and a Vice President of the United States shall be prescribed by an amendment to the Constitution." Laws Va. 1799, 1800, p. 3."

When this was done in Virginia, Chief Justice John Marshall resolved never to vote during the continuance of use of the general ticket system. A letter dated March 29, 1828 from Marshall to the Richmond Whig and Advertiser, published in the Enquirer dated April 4, 1828, is quoted in part in Albert J. Beveridge's IV The Life of John Marshall 463 as follows:

"Though I had not voted since the establishment of the general ticket system, and had believed that I never should vote during its continuance, I might probably depart from my resolution in this instance, from the strong sense I felt of the injustice of the charge of corruption against the President and Secretary of State. . . ."

The district mode of electing electors was also favored by many other leaders, such as Hamilton, Jefferson, Madison, Gallatin, James A. Bayard, John Quincy Adams, Van Buren, Benton, Webster, and Story. See page 387 of Hearings before a Subcommittee of the Committee on the Judiciary, United States Senate, 84th Congress, First Session, March 16, 18, 25, April 1, and 6, 1955, entitled "Nomination and Election of President and Vice President".

## E. An Early Statement Points Out the Evils of the General Ticket System

Senator Benton of Missouri, probably the most tireless advocate of electoral college reform in the 19th Century, in 1824 pointed out the evils of the general ticket system in the following statement in 41 Annals of Congress 169-170:

"The general ticket system, now existing in 10 States was the offspring of policy, and not of any disposition to give fair play to the will of the people. It was adopted by the leading men of those States, to enable them to consolidate the vote of the State. \* \* It contributes to give power and consequence to the leaders who manage the elections, but it is a departure from the intention of the Constitution; violates the

rights of minorities, and is attended with many other evils. The intention of the Constitution is violated. because it was the intention of that instrument, to give to each mass of persons, entitled to one elector, the power of giving that electoral vote to any candidate they preferred. The rights of minorities are violated because a majority of one will carry the vote of the whole State \* \* \*. In New York 36 electors are chosen; 19 is a majority, and the candidate receiving this majority is fairly entitled to count 19 votes; but he counts, in reality, 36; because the minority of 17 are added to the majority. These 17 votes belong to 17 masses of people, of 40,000 souls each, in all 680,000 people, whose votes are seized upon, taken away and presented to whom the majority pleases. \* \* \* To lose their votes, is the fate of all minorities, and it is their duty to submit; but this is not a case of votes lost, but of votes taken away, added to those of the majority. and given to a person to whom the minority is opposed."

Lucius Wilmerding, Jr., a distinguished Princeton scholar, set forth the foregoing quotation from Senator Benton in an article entitled "Reform of the Electoral System" published in the March 1949 issue of the Political Science Quarterly. He introduced it with the statement that the evils of the general ticket "were never better set out than by Senator Benton in 1824".

### SUMMARY

## Plaintiffs' contentions may be summarized as follows:

1. The structure of the electoral college, created under Article II, Section 1 of the Constitution, apportioned under the Acts of Congress to the people in pursuance of the apportionment provisions of Article I, Section 2 of the Constitution and of Section 2 of the Fourteenth Amendment, and functioning under the Twelfth Amendment and the basic representative framework of the Constitution, establishes that the "representative" electors belong to the people, not the States, and should be elected in single-member Congressional districts by the people voting as citizens of the United States, as Representatives in Congress are elected.

2. The voting rights of citizens of the United States protected under the Fourteenth Amendment of the Constitution require that in presidential elections "representative" electors be elected in single-member Congressional districts in order to eliminate the many invidious discriminations inherent in state-wide general ticket elections.

3. The divisibility principle of the Twelfth Amendment of the Constitution requires that in presidential elections "representative" electors be elected by single-member Congressional districts rather than by state-wide general ticket elections.

4. It is unconstitutional for the election laws of Virginia to force the citizens of the United States resident therein to speak with a single voice, solely as citizens of the state, in presidential elections through state-wide general ticket elections of the ten "representative" electors apportioned to the people of Virginia according to their numbers.

#### CONCLUSION

Plaintiffs therefore contend that judgment should be granted in their favor, and urge that the Court enter its order in accordance with the prayers of their Complaint.

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